

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SJUNDE AP-FONDEN and THE
CLEVELAND BAKERS AND
TEAMSTERS PENSION FUND,
individually and on behalf of all others
similarly situated,

Plaintiffs,

v.

GENERAL ELECTRIC COMPANY, et al.,

Defendants.

Case No. 1:17-cv-8457-JMF

**DECLARATION OF LUIGGY SEGURA REGARDING (A) MAILING OF THE
NOTICE AND POSTCARD NOTICE; (B) PUBLICATION OF THE SUMMARY
NOTICE; AND (C) REPORT ON REQUESTS FOR EXCLUSION RECEIVED**

I, Luiggy Segura, declare as follows:

1. I am a Vice President at JND Legal Administration (“JND”). Pursuant to the Court’s Order Approving the Form and Manner of Class Notice dated May 27, 2022 (ECF No. 336) (“Class Notice Order”), the Court approved the method for disseminating notice to the Class as set forth in the proposed notice plan (ECF No. 334-4) (“Notice Plan”). The Notice Plan authorized Class Counsel to retain JND to supervise and administer the notice procedure in connection with the above-captioned action (“Action”).¹ I submit this Declaration in order to provide the Court and the Parties to the Action with information regarding the dissemination of the Notice of Pendency of Class Action (“Notice”), the Postcard Notice (“Postcard Notice”), and the publication of the Summary Notice of Pendency of Class Action (“Summary Notice”), as well

¹ All capitalized terms not defined in this Declaration have the meanings provided in the Class Notice Order and Notice Plan.

as the establishment of the case website and dedicated toll-free telephone number, in accordance with the Class Notice Order. I also submit this Declaration to report on the requests for exclusion received. The following statements are based on my personal knowledge and information provided to me by other experienced JND employees, and, if called as a witness, I could and would testify competently thereto.

MAILING OF THE NOTICE AND POSTCARD NOTICE

2. Pursuant to the Court-approved Notice Plan, JND was responsible for, among other things, disseminating the Postcard Notice to potential members of the Class. By definition, the Class is comprised of all persons and entities that purchased or acquired General Electric Company (“GE”) common stock between February 29, 2016 and January 23, 2018, inclusive (“Class Period”) and were damaged thereby. The Postcard Notice includes a QR code directing recipients to the case-dedicated website described below. A copy of the Postcard Notice is attached hereto as Exhibit A.

3. On June 9, 2022, JND received a file from Class Counsel containing the contact information for 292,360 holders of record of GE common stock during the Class Period (“GE Shareholder List”). JND extracted the records from the file received and, after clean-up and de-duplication, identified a total of 291,302 unique names and mailing addresses. Prior to mailing the Postcard Notice to the individuals and entities contained in the file received, JND verified the mailing records through the National Change of Address (“NCOA”) database to ensure the most current address was being used. As a result, 9,364 mailing addresses were updated with new addresses. On June 16, 2022, JND mailed Postcard Notices via First-Class mail to the 291,302 names and mailing addresses provided in the GE Shareholder List.

4. JND also researched GE's filings with the U.S. Securities and Exchange Commission ("SEC") on Form 13-F to identify additional institutions or entities that may have held GE common stock during the Class Period. As a result, on June 16, 2022, JND mailed Postcard Notices via First-Class mail to the 3,234 institutions and/or entities identified in the SEC filings.

5. Additionally, as in most securities class actions, a large majority of potential Class Members are beneficial purchasers whose securities are held in "street name," i.e., the securities are purchased by brokerage firms, banks, institutions, or other third-party nominees ("Nominees") in the name of the Nominee, on behalf of the beneficial purchasers. JND maintains a proprietary database with the names and addresses of the most common Nominees ("Nominee Database"). At the time of the initial mailing, the Nominee Database contained 4,079 mailing records.² On June 16, 2022, JND caused the Notice to be mailed via First-Class mail to the 4,079 Nominees contained in the Nominee Database. A copy of the Notice is attached hereto as Exhibit B.

6. JND also provided a copy of the Notice to the Depository Trust Company ("DTC") for posting on its Legal Notice System ("LENS") on June 15, 2022. This service is made available to all Nominees who use the DTC. The DTC LENS is a place for legal notices to be posted pertaining to publicly traded companies.

7. The Notice directed all those who purchased or acquired GE common stock during the Class Period for the beneficial interest of a person or entity other than themselves to either: (i) within seven (7) calendar days of receipt of the Notice, request from JND sufficient copies of the Postcard Notice to forward to all such beneficial owners and within seven (7) calendar days of

² JND continuously updates its Nominee Database with new addresses when they are received and eliminates duplicates or obsolete addresses when identified (as Nominees merge or go out of business).

receipt of those Postcard Notices, forward them to all such beneficial owners; or (ii) within seven (7) calendar days of receipt of the Notice, provide a list of the names, addresses, and if available, email addresses, of all such beneficial owners to JND and JND would send the Postcard Notice directly to the beneficial owners.

8. In a further attempt to garner responses from Nominees, JND reached out by telephone to the top Nominees contained in JND's Nominee Database and mailed reminder postcards to all Nominees contained in the Nominee Database who did not respond to the initial mailing. The reminder postcard advised the Nominees of their obligation to provide notice to their clients who may be Class Members.

9. Since the initial mailing on June 16, 2022, JND has received an additional 1,248,773 names and mailing addresses (and 3,810 email addresses)³ of potential Class Members from individuals, entities, or Nominees requesting that Postcard Notices be mailed to such persons or entities. JND has also received requests from Nominees for an additional 2,241,723 Postcard Notices, in bulk, to forward directly to their clients. All such requests received by JND have been responded to in a timely manner.

³ Receiving email addresses for notice mailings is not common practice in securities matters, but emails (if available) were requested by Class Counsel in light of the 2018 amendments to Federal Rule of Civil Procedure 23. In the event that both an email address and mailing address were provided for the same potential Class Member, the Notice was emailed and the Postcard Notice was mailed.

10. As a result of the efforts described above, as of September 1, 2022, an aggregate of 3,785,032 Postcard Notices and 4,079 Notices have been disseminated to potential Class Members and nominees via First-Class mail.⁴

PUBLICATION OF THE SUMMARY NOTICE

11. Pursuant to the Court-approved Notice Plan, JND was also responsible for publishing the Summary Notice. Accordingly, JND caused the Summary Notice to be published once in *The Wall Street Journal* on June 24, 2022, and to be transmitted once over *PR Newswire* on June 24, 2022. Attached hereto as Exhibit C is confirmation of *The Wall Street Journal* and *PR Newswire* publications.

ESTABLISHMENT OF CALL CENTER SERVICES

12. On or before June 16, 2022, JND established and continues to maintain a toll-free telephone number (1-844-202-9485) for Class Members to call and obtain information about the Action. The toll-free telephone number connects callers with an Interactive Voice Recording (“IVR”). The IVR provides callers with a series of choices to respond to basic questions. The toll-free telephone number with pre-recorded information is available 24 hours a day, 7 days a week, and provides the option to speak with a live operator during regular business hours. During other hours, callers may leave a message for a JND representative to call them back. The toll-free telephone number is set forth in the Postcard Notice, Notice, and on the case website.

⁴ A total of 16,007 Postcard Notices have been returned by the United States Postal Service (“USPS”) to JND as undelivered as addressed. The USPS informed JND that 12,090 of the 16,007 undelivered Postcard Notices had an updated address and those Postcard Notices were forwarded to the updated address. JND also conducted an advanced search of the addresses on the undeliverable Postcard Notices where an updated address had not been provided by the USPS, and as a result, 3,917 new addresses were found. JND re-mailed the Postcard Notices to the updated addresses identified through the advanced search.

13. As of September 1, 2022 there have been a total of 4,448 calls to the toll-free telephone number. Of these calls, 2,364 have been handled by a live operator. JND has promptly responded to each telephone inquiry and will continue to respond to Class Member inquiries via the toll-free telephone number.

ESTABLISHMENT OF THE CASE WEBSITE

14. To further assist potential Class Members, JND, in coordination with Class Counsel, designed, implemented, and currently maintains a website (www.GeneralElectricSecuritiesLitigation.com) dedicated to the Action (“Website”). The Website became operational on June 16, 2022, and is accessible 24 hours a day, 7 days a week. Among other things, the Website includes general information regarding the Action and provides a downloadable copy of the Notice as well as other relevant documents (e.g., the operative complaint, the Class Notice Order and the Opinion and Order Certifying the Class dated April 11, 2022). JND will continue to update the Website with relevant case updates. The address for the website is set forth in the Postcard Notice, Notice, and Summary Notice. The Postcard Notice includes a QR code which directs users to the Website.

15. As of September 1, 2022, the Website has received 39,916 visitors.

REPORT ON EXCLUSION REQUESTS RECEIVED

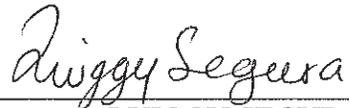
16. The notices and Website inform Class Members that requests for exclusion from the Class are to be addressed to *General Electric Securities Litigation*, Exclusions, c/o JND Legal Administration, P.O. Box 91449, Seattle, WA 98111, and postmarked no later than August 15, 2022. JND monitors all mail delivered to the P.O. Box for the Action.

17. As of September 1, 2022, JND has received: (i) three hundred and six (306) timely requests for exclusion (i.e., requests for exclusion received or postmarked on or before August 15,

2022); (ii) four (4) late requests for exclusion (i.e., requests for exclusion postmarked after August 15, 2022); and (iii) five (5) requests for exclusion received after August 15, 2022 with a postmark date that was not legible. Attached as Exhibit D is the list of timely requests for exclusion. Exhibit E hereto lists the late requests for exclusion and Exhibit F hereto lists the requests for exclusion with an illegible postmark date.

I declare under penalty of perjury under the laws of the United States of America that the above is true and correct.

Executed on September 2, 2022 at New Hyde Park, New York.



LUIGGY SEGURA

EXHIBIT A

This Notice may affect your legal rights.

Please read it carefully.

Important Legal Notice Authorized by the United States District Court for the Southern District of New York about a Class Action

Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund, et al. v. General Electric Company, et al.

Case No. 1:17-cv-8457-JMF (S.D.N.Y.)

If you purchased or acquired General Electric Company common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby, a class action has been certified that will impact your legal rights.

THIS POSTCARD ONLY PROVIDES LIMITED INFORMATION ABOUT THE CLASS ACTION.



Scan here to visit
the website for
more information.

c/o JND Legal Administration

P.O. Box 91449

Seattle, WA 98111

[BARCODE]

Postal Service: Please Do Not Mark or Cover Barcode

[NAME1]

[ADDR2]

[CITY] [ST] [ZIP]

[COUNTRY]

Please visit www.GeneralElectricSecuritiesLitigation.com, email info@GeneralElectricSecuritiesLitigation.com, or call (844) 202-9485 for more information.

The Court has certified a class action ("Action") against General Electric Company ("GE") and former GE executive Jeffrey Bornstein (the "Individual Defendant") (together, "Defendants"). IF YOU ARE A MEMBER OF THE CLASS, YOUR RIGHTS WILL BE AFFECTED BY THIS CASE, WHICH HAS NOT SETTLED. This notice advises you of basic information about your options. A detailed "long-form notice" is available on the case website, or by calling the number above.

What Has Happened So Far? The Action alleges that Defendants' public disclosures concealed material facts concerning GE's reliance on intercompany factoring transactions to offset weaknesses in GE Power's cash flows from operations between February 29, 2016 and January 23, 2018 in violation of Sections 10(b) and 20(a) of the Securities Exchange Act of 1934. Defendants deny the claims and wrongdoing asserted, as well as any liability arising out of the conduct alleged in the Action. In April 2022, the Court certified the Class and appointed Class Representatives and Class Counsel (Kessler Topaz Meltzer & Check, LLP, 280 King of Prussia Road, Radnor, PA 19087). The Action is ongoing and in the discovery phase. No trial date has been set. **A detailed description of the Action and the claims asserted is contained in the long-form notice available on the case website.**

Who Is In The Class? All persons and entities that purchased or acquired GE common stock between February 29, 2016 and January 23, 2018, inclusive ("Class Period") and were damaged thereby. As is explained in the long-form notice, certain persons and entities (including Defendants, the directors and officers of GE during the Class Period, and the Individual Defendant's immediate family members) are excluded from the Class by definition.

What Are My Options? This Action is being litigated and no money has been recovered. If you are a Class member and do nothing, you will remain a member of the Class and if there is a **future** recovery or settlement, you may be eligible for a payment. If you remain a Class member, you will be bound by all Court orders, whether favorable or unfavorable, and you may not pursue a lawsuit on your behalf with regard to any issues in the Action.

If you DO NOT want to be a Class member and be legally bound by anything that happens in the Action, **you must exclude yourself** from the Class. To exclude yourself, you must send a letter by first-class mail stating that you "request exclusion from the Class in *Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund, et al. v. General Electric Company, et al.*, Case No. 1:17-cv-08457-JMF." Your request must: (i) state the full name, address, and telephone number of the person or entity requesting exclusion, and be signed; and (ii) state the amount of GE common stock purchased, acquired and/or sold during the Class Period by the person or entity requesting exclusion, as well as the dates and prices of each such purchase, acquisition and/or sale. You must mail your exclusion request, **postmarked by no later than August 15, 2022**, to: *General Electric Securities Litigation*, Exclusions, c/o JND Legal Administration, P.O. Box 91449, Seattle, WA 98111. Defendants retain all of their defenses to individual claims and may seek their dismissal, including because claims may be time-barred.

Your Other Rights. Class members are represented by Class Counsel. You will not be personally responsible for counsel's fees and expenses. You may also hire your own attorney, at your own expense. If you do, your attorney must file a notice of appearance with the Court **on or before August 15, 2022**.

PLEASE KEEP YOUR INVESTMENT RECORDS AND NOTIFY THE ADMINISTRATOR OF ANY CHANGE IN ADDRESS.

Do not contact the Court, Defendants, or their counsel. All questions should be directed to the Administrator or Class Counsel, or you may visit the case website.

EXHIBIT B

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SJUNDE AP-FONDEN and THE
CLEVELAND BAKERS AND
TEAMSTERS PENSION FUND,
individually and on behalf of all others
similarly situated,

Plaintiffs,

v.

GENERAL ELECTRIC COMPANY, et al.,
Defendants.

Case No. 1:17-cv-8457-JMF

NOTICE OF PENDENCY OF CLASS ACTION

TO: All persons and entities that purchased or acquired General Electric Company common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby (the “Class”).

A federal court has authorized this notice. This is not a solicitation from a lawyer.

**PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.
YOU MAY BE A MEMBER OF THE CLASS DESCRIBED HEREIN, AND YOUR RIGHTS
MAY BE AFFECTED BY A PENDING CLASS ACTION LAWSUIT. THIS NOTICE ADVISES
YOU OF YOUR OPTIONS REGARDING THE CLASS ACTION.**

**THIS CASE HAS NOT BEEN ADJUDICATED OR SETTLED. THIS NOTICE IS INTENDED
ONLY TO INFORM YOU THAT A CLASS ACTION IS CURRENTLY IN PROGRESS.
THERE IS NO CLAIM FORM TO BE MAILED.**

**PLEASE DO NOT CALL OR WRITE THE COURT.
IF YOU HAVE ANY QUESTIONS AFTER READING THIS NOTICE,
YOU SHOULD CONTACT CLASS COUNSEL OR THE ADMINISTRATOR,
AS DISCUSSED FURTHER BELOW.**

This Notice is being issued pursuant to Federal Rule of Civil Procedure (“Rule”) 23 and an Order of the United States District Court for the Southern District of New York (“Court”) to inform you: (i) of a class action lawsuit that is now pending in the Court under the above caption (“Action”) against General Electric Company (“GE”) and former GE executive Jeffrey Bornstein (together, “Defendants”); and (ii) that the Action has been certified by the Court to proceed as a class action on behalf of the Class, as defined in ¶ 1 below.

1. By Opinion and Order dated April 11, 2022, the Court certified the Action as a class action on behalf of the following Class:

All persons and entities that purchased or acquired GE common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby.

Excluded from the Class are: (a) Defendants; (b) GE’s subsidiaries and affiliates; (c) any officer, director, or controlling person of GE, and members of the immediate

Questions? Visit www.GeneralElectricSecuritiesLitigation.com or call (844) 202-9485

families of such persons; (d) any entity in which any Defendant has a controlling interest; (e) Defendants' directors' and officers' liability insurance carriers, and any affiliates or subsidiaries thereof; and (f) the legal representatives, heirs, successors, and assigns of any such excluded party.

2. This Notice is directed to members of the Class. If you are a member of the Class, your rights will be affected by the Action. If you do not meet the Class definition, this Notice does not apply to you. If you are uncertain whether you are a member of the Class, please contact Class Counsel listed in ¶ 26 below, or your own attorney.

3. This Notice is not an admission by Defendants or an expression of any opinion by the Court as to the merits of the Action, or a finding by the Court that the claims asserted by Class Representatives¹ in the Action are valid. This Notice is intended solely to inform you of the pendency of the Action and of your rights concerning the Action, including the right to request exclusion from the Class if you are a member of the Class. **There is no judgment, settlement, or monetary recovery at this time**, and there is no assurance that a judgment in favor of the Class will be granted or that the Court will award the Class a monetary recovery. Defendants have denied Class Representatives' claims, and contend that they are not liable for the harm alleged by Class Representatives.

4. The Class definition may be subject to change by the Court pursuant to Rule 23.

DESCRIPTION AND STATUS OF THE LAWSUIT

5. This is a securities class action against Defendants for alleged violations of the federal securities laws. It alleges that Defendants' public disclosures concealed material facts concerning GE's reliance on intercompany factoring transactions to offset weaknesses in GE Power's cash flows from operations between February 29, 2016 and January 23, 2018 ("Class Period") in violation of Sections 10(b) and 20(a) of the Securities Exchange Act of 1934. Defendants deny the allegations of wrongdoing asserted in the Action, and deny any liability whatsoever to any member of the Class. **Please note that this Notice does not describe all claims and defenses asserted by the parties. The section entitled "Where You Can Find Additional Information" describes the process by which you can obtain additional information about this Action, including the claims and defenses asserted.**

6. On November 1, 2017, a class action complaint was filed against GE, and several then-current and former executives asserting violations of Sections 10(b) and 20(a) of the Securities Exchange Act of 1934, 15 U.S.C. §§ 78j(b) and 78t(a) ("Exchange Act"), and Rule 10b-5 promulgated thereunder, 17 C.F.R. § 240.10b-5.

7. On May 29, 2018, following consolidation and coordination with related cases, the Court appointed AP7 as Lead Plaintiff pursuant to the Private Securities Litigation Reform Act of 1995 ("PSLRA") and appointed Lead Plaintiff's selection of counsel, Kessler Topaz Meltzer & Check, LLP, as lead counsel.

8. On August 23, 2018, Lead Plaintiff and additional plaintiff Cleveland Bakers (together, "Plaintiffs") filed the Third Amended Consolidated Class Action Complaint for Violations of the Federal Securities Laws ("Third Amended Complaint") against GE, Jeffrey Immelt, Jeffrey Bornstein, Jamie Miller, Keith Sherin, Jan Hauser, and Richard Laxer, alleging violations of Sections 10(b) and 20(a) of

¹ The Court has appointed Sjunde AP-Fonden ("AP7") and The Cleveland Bakers and Teamsters Pension Fund ("Cleveland Bakers") as the representatives for the Class.

the Exchange Act, and Rule 10b-5 promulgated thereunder.² The Third Amended Complaint alleged misrepresentations and omissions by Defendants regarding (1) the risk and quality of GE's long-term care ("LTC") insurance portfolio; (2) its accounting and revenue recognition for certain long-term service agreements ("LTSAs") made by its power division between February 27, 2013 and January 23, 2018; and (3) GE Power's factoring practices and their impact on GE's disclosed cash flows from operations. Defendants moved to dismiss the Third Amended Complaint on September 12, 2018. Plaintiffs opposed the motion to dismiss on October 12, 2018.

9. By Stipulation and Order dated October 12, 2018, Plaintiffs were permitted to file a Fourth Amended Consolidated Class Action Complaint for Violations of the Federal Securities Laws ("Fourth Amended Complaint") for the limited purpose of striking certain allegations in the Third Amended Complaint. On October 17, 2018, Plaintiffs filed the Fourth Amended Complaint. Defendants filed a reply in further support of their motion to dismiss on October 29, 2018.

10. By Opinion and Order dated August 29, 2019, the Court granted in part and denied in part the motion to dismiss. The Court dismissed the entirety of Plaintiffs' claims arising from GE's LTC insurance portfolio and its accounting for its LTSAs, but sustained Plaintiffs' claims arising from GE's disclosures concerning its factoring practices. The Court also granted Plaintiffs leave to amend to address the deficiencies the Court identified in its Opinion and Order.

11. On October 25, 2019, Plaintiffs filed the Fifth Amended Consolidated Class Action Complaint for Violations of the Federal Securities Laws ("Fifth Amended Complaint") against GE, Jeffrey Immelt, Jeffrey Bornstein, Jamie Miller, Keith Sherin, Jan Hauser, and Richard Laxer, alleging violations of Sections 10(b) and 20(a) of the Exchange Act, and Rule 10b-5 promulgated thereunder. The Fifth Amended Complaint re-pleaded certain of the dismissed claims arising from GE's LTC insurance portfolio, from GE's LTSA accounting practices and already-sustained claims concerning GE's factoring practices. Defendants moved to dismiss the Fifth Amended Complaint on December 18, 2019. Plaintiffs opposed the motion to dismiss on January 31, 2020. Defendants filed a reply in further support of their motion to dismiss on February 28, 2020.

12. By Opinion and Order dated January 29, 2021, the Court granted in part and denied in part the motion to dismiss the Fifth Amended Complaint, once again dismissing the claims arising from GE's LTC insurance portfolio and GE's LTSA accounting practices and allowing claims arising from GE's factoring practices. The factoring claims were permitted to proceed against GE and Jeffrey Bornstein, and all other defendants were dismissed.

13. On February 12, 2021, Defendants filed their Answer to the Fifth Amended Complaint, denying all claims and wrongdoing asserted, as well as any liability arising out of the conduct alleged in the Fifth Amended Complaint. Defendants also asserted several affirmative defenses to the claims made in the Fifth Amended Complaint. Thereafter, the parties pursued discovery concerning their respective claims and defenses.

14. On May 21, 2021, Plaintiffs filed a motion for class certification ("Motion to Certify"). Plaintiffs sought to certify a Class consisting of all persons and entities that purchased or acquired GE common stock between March 2, 2015 and January 23, 2018, inclusive and were damaged thereby. Defendants opposed Plaintiffs' Motion to Certify on August 30, 2021. Also on August 30, 2021, plaintiffs in a class action pending against GE in New York State court ("Intervenors") brought on behalf of GE shareholders who purchased GE common stock between July 20, 2015 and July 19, 2018 through GE's Stock Direct Plan—filed a motion to intervene and modify Plaintiffs' Motion to Certify. On September 3,

² A first and second amended complaint were filed in the Action prior to the appointment of AP7 as Lead Plaintiff and Kessler Topaz Meltzer & Check, LLP as lead counsel.

2021, the Intervenor was granted leave to intervene for the limited purpose of partially opposing Plaintiffs' Motion to Certify. Intervenor sought an opinion from the Court that any claims that were alleged in the State court action would not be impacted by any settlement or adjudication of claims in this Action. Thereafter, on October 29, 2021, Plaintiffs filed a reply in further support of their motion.

15. While Plaintiffs' Motion to Certify was pending, on January 19, 2022, Plaintiffs filed a Motion for Leave to File a Sixth Amended Consolidated Class Action Complaint ("Motion to Amend") for the limited purpose of repleading a previously dismissed statement that Defendant Bornstein made during GE's January 20, 2017 4Q16 earnings call, relating to GE's factoring practices in 2016. Defendants opposed Plaintiffs' Motion to Amend on February 3, 2022, and Plaintiffs filed a reply in further support of their motion on February 10, 2022.

16. By Opinion and Order dated April 11, 2022 ("April 2022 Order"), the Court granted Plaintiffs' Motion to Certify and Motion to Amend but shortened the proposed class period to begin on February 29, 2016 instead of March 2, 2015, as proposed. Specifically, by its April 2022 Order, the Court certified a Class consisting of all persons and entities that purchased or acquired GE common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby.³ In addition, the April 2022 Order appointed Plaintiffs AP7 and Cleveland Bakers as Class Representatives, Kessler Topaz Meltzer & Check, LLP as Class Counsel and Grant & Eisenhofer P.A. as Liaison Counsel for the Class. Also by its April 2022 Order, and with respect to Plaintiffs' Motion to Amend, the Court granted Plaintiffs' request to file their Sixth Amended Consolidated Class Action Complaint. The April 2022 Order also denied the Intervenor's request for the relief sought in their motion, finding the relief sought premature.

17. The Action is ongoing. The parties completed fact discovery on February 1, 2022, and expert discovery is ongoing. A trial date has not yet been set.

18. No court has made a ruling on the merits of Class Representatives' allegations or on Defendants' denials and defenses.

YOUR RIGHTS AS A CLASS MEMBER

19. A class action is a type of lawsuit in which one or several individuals or entities prosecute claims on behalf of all members of a group of similarly situated persons and entities to obtain monetary or other relief for the benefit of the entire group. Class actions avoid the necessity of each member of a class having to file his, her, or its own separate lawsuit to obtain relief. Class actions are used to decide legal and factual issues that are common to all members of a class.

20. If you purchased or acquired GE common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby, and you are not excluded by definition from the Class, then you are a member of the Class. If you are a member of the Class, you have the right to decide whether to remain a member of the Class. ***If you are a member of the Class and wish to be excluded from the Class, you must request exclusion in accordance with the procedures set forth in ¶ 22 below. If you want to remain a member of the Class, you do not need to do anything at this time other than to retain your documentation reflecting your transactions and holdings in GE common stock during the Class Period as discussed below in ¶ 21.*** Your decision is important for the following reasons:

³ Excluded from the Court-certified Class are: (a) Defendants; (b) GE's subsidiaries and affiliates; (c) any officer, director, or controlling person of GE, and members of the immediate families of such persons; (d) any entity in which any Defendant has a controlling interest; (e) Defendants' directors' and officers' liability insurance carriers, and any affiliates or subsidiaries thereof; and (f) the legal representatives, heirs, successors, and assigns of any such excluded party.

- a. **If you choose to remain a member of the Class**, you will be bound by all past, present, and future orders and judgments in the Action, whether favorable or unfavorable. If any money is awarded to the Class, either through a settlement with Defendants or a judgment of the Court after a trial and appeal, you may be eligible to receive a share of that award. However, if you remain a member of the Class, you may not pursue a lawsuit on your own behalf with regard to any of the issues in the Action in connection with your purchase/acquisition of GE common stock. Pursuant to Rule 23(e)(4), it is within the Court's discretion whether to allow a second opportunity to request exclusion from the Class if there is a settlement. Please note that if you remain a member of the Class, you will not be personally responsible for Class Counsel's attorneys' fees or costs. Class Counsel has agreed to represent the Class on a contingent-fee basis, which means that it will be awarded fees and costs, as approved by the Court, only if it succeeds in obtaining a recovery from one or more Defendants. Any attorneys' fees and costs for Class Counsel will be awarded by the Court from the settlement or judgment, if any, obtained on behalf of the Class. As a member of the Class, you will be represented by Class Counsel. Alternatively, you may remain a member of the Class and elect to be represented by counsel of your own choosing. If you do retain separate counsel, you will be responsible for that attorney's fees and expenses and that attorney must enter an appearance on your behalf by filing a Notice of Appearance with the Court and mailing it to Class Counsel at the address set forth in ¶ 26 below on or before **August 15, 2022**.
- b. **If you choose to be excluded from the Class**, you will not be bound by any orders or judgments in the Action, nor will you be eligible to share in any recovery that might be obtained in the Action. You will retain any right you have to individually pursue any legal rights that you may have against any Defendants with respect to the claims asserted in the Action and Defendants retain all of their defenses to your claims. ***Please note, if you decide to exclude yourself from the Class, you may be time-barred from asserting all or a portion of the claims covered by the Action. Class Counsel offers no advice and no opinion on whether you will be able to maintain such claims.*** Please refer to ¶¶ 22-25 below if you would like to request exclusion from the Class.

21. Members of the Class will be eligible to participate in any recovery that might be obtained in the Action. While this Notice is not intended to suggest any likelihood that Class Representatives or members of the Class will obtain any recovery, should there be a recovery, members of the Class will be required to support their requests to participate in the distribution of the recovery by demonstrating their membership in the Class and documenting their purchases, acquisitions and sales of GE common stock during the Class Period. ***For this reason, please be sure to keep all records of your transactions and holdings in GE common stock. DO NOT mail them to Class Counsel or the Administrator at this time.***

HOW TO BE EXCLUDED FROM THE CLASS

22. To exclude yourself from the Class, you must send a letter by first-class mail stating that you "request exclusion from the Class in *Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund, et al. v. General Electric Company, et al.*, Case No. 1:17-cv-08457-JMF." Your request must state your full name, address, telephone number, and you must sign it. If you are signing on behalf of a Class member (such as an estate, corporation, or partnership), please indicate your full name and the basis of your authority to act on behalf of the Class member. Your request for exclusion must also state the amount of GE common stock purchased, acquired and/or sold during the Class Period, as well as the dates and prices of each such purchase, acquisition and/or sale. You must mail your exclusion request, ***postmarked no later than August 15, 2022***, to:

General Electric Securities Litigation
Exclusions
c/o JND Legal Administration
P.O. Box 91449
Seattle, WA 98111

23. You cannot exclude yourself from the Class by telephone, facsimile, or email. Requests for exclusion that do not comply with the above requirements will be invalid, unless otherwise accepted by the Court, subject to any objections of the parties to be resolved by the Court.

24. Do not request exclusion if you wish to participate in the Action as a member of the Class.

25. If you properly request exclusion from the Class, you will not be bound by any orders or judgments in the Action, but you also will not be eligible to share in any recovery that might be obtained in the Action. If you properly request exclusion from the Class, you may be entitled to pursue an individual lawsuit, claim, or remedy, if available, which you may have, at your own expense. **Please note:** if you decide to exclude yourself from the Class, you may be time-barred from asserting all or a portion of the claims covered by the Action. Class Counsel offers no advice and no opinion on whether you will be able to maintain such claims.

CLASS COUNSEL

26. The Court appointed the law firm Kessler Topaz Meltzer & Check, LLP as Class Counsel. If you have any questions concerning the matters raised in this Notice, you may contact Class Counsel, as follows:

**KESSLER TOPAZ MELTZER
& CHECK, LLP**
Sharan Nirmul, Esq.
Richard A. Russo, Jr., Esq.
280 King of Prussia Road
Radnor, PA 19087
Telephone: (610) 667-7706
info@ktmc.com
www.ktmc.com

27. As noted above, unless you elect to retain your own personal lawyer, if you remain in the Class, you will not have any direct obligation to pay the costs of the litigation. If there is a recovery by the Class in the Action, all costs and expenses of the Action, including Class Counsel's attorneys' fees, will be paid from that recovery in an amount approved by the Court.

28. If you want to be represented by your own lawyer, you may hire one at your own expense. If you do retain your own lawyer, such counsel must enter an appearance on your behalf by filing a Notice of Appearance with the Clerk of the Court at the United States District Court for the Southern District of New York, located at the Thurgood Marshall United States Courthouse, 40 Foley Square, New York, NY 10007, **on or before August 15, 2022**. Your Notice of Appearance must also be mailed to Class Counsel at the address set forth in ¶ 26 above, **on or before August 15, 2022**.

PLEASE KEEP YOUR ADDRESS CURRENT

29. To assist the Court and the parties in maintaining accurate lists of Class members, you are requested to mail notice of any changes in your address to:

General Electric Securities Litigation
c/o JND Legal Administration
P.O. Box 91449
Seattle, WA 98111

30. If you receive a Postcard Notice relating to the Action by mail and that Postcard Notice was forwarded to you by the postal service, or if it was otherwise sent to you at an address that is not current, you should immediately contact the Administrator, JND Legal Administration, at the address above, by email at info@GeneralElectricSecuritiesLitigation.com, or by toll-free phone at (844) 202-9485 and provide them with your correct address. If the Administrator does not have your correct address, you may not receive notice of important developments in the Action.

WHERE YOU CAN FIND ADDITIONAL INFORMATION

31. This Notice provides only a summary of the lawsuit and the claims asserted by Class Representatives. For more detailed information regarding the Action, you may contact Class Counsel or visit www.GeneralElectricSecuritiesLitigation.com. You may also contact the Administrator by email at info@GeneralElectricSecuritiesLitigation.com, or by toll-free phone at (844) 202-9485.

32. Complete copies of the pleadings, orders, and other documents filed in this Action are available at <http://www.pacer.gov> or at the office of the Clerk of the Court, United States District Court for the Southern District of New York, located at the Thurgood Marshall United States Courthouse, 40 Foley Square, New York, NY 10007, under Case No. 1:17-cv-08457-JMF.

**PLEASE DO NOT CALL OR WRITE THE COURT OR CLERK OF THE COURT
REGARDING THIS NOTICE.**

NOTICE TO SECURITIES BROKERS AND OTHER NOMINEES

33. If, for the beneficial interest of any person or entity other than yourself, you purchased or acquired GE common stock between February 29, 2016 and January 23, 2018, inclusive, you MUST EITHER: (i) WITHIN SEVEN (7) CALENDAR DAYS of receipt of this Notice, request from the Administrator sufficient copies of the Postcard Notice to forward to all such beneficial owners and WITHIN SEVEN (7) CALENDAR DAYS of receipt of those Postcard Notices forward them to all such beneficial owners; or (ii) WITHIN SEVEN (7) CALENDAR DAYS of receipt of this Notice, provide a list of the names and mailing addresses (and e-mail addresses, if available) of all such beneficial owners to the Administrator at *General Electric Securities Litigation*, c/o JND Legal Administration, P.O. Box 91449, Seattle, WA 98111. If you choose the first option, YOU MUST send a statement to the Administrator confirming that the mailing was made and YOU MUST retain your mailing records for use in connection with any further notices that may be provided in the Action. If you choose the second option, the Administrator will send a copy of the Postcard Notice to the beneficial owners. Upon FULL AND TIMELY compliance with these directions, such nominees may seek reimbursement of their reasonable expenses actually incurred by providing the Administrator with proper documentation supporting the expenses for which reimbursement is sought.

DATED: June 16, 2022

BY ORDER OF THE COURT
UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

Questions? Visit www.GeneralElectricSecuritiesLitigation.com or call (844) 202-9485

EXHIBIT C

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CLASS ACTIONS

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF TENNESSEE
NASHVILLE DIVISION

JACKSON COUNTY EMPLOYEES' RETIREMENT SYSTEM, Individually and on Behalf of All Others Similarly Situated, Plaintiffs,

vs.

CARLOS GHOSN, et al., Defendants.

Civil Action No. 3:18-cv-01368

CLASS ACTION

Hon. William L. Campbell Jr.
Magistrate Judge Alistair Newbern

SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION

TO: ALL PERSONS WHO, BETWEEN MAY 11, 2014 AND NOVEMBER 16, 2018, INCLUSIVE, PURCHASED OR OTHERWISE ACQUIRED NISSAN MOTOR CO., LTD. ("NISSAN" OR THE "COMPANY") AMERICAN DEPOSITARY RECEIPTS ("ADRs") ON THE OVER-THE-COUNTER MARKET ("OTC MARKET") AND ALL CITIZENS AND RESIDENTS OF THE UNITED STATES WHO, BETWEEN MAY 11, 2014 AND NOVEMBER 16, 2018, INCLUSIVE, PURCHASED OR OTHERWISE ACQUIRED NISSAN COMMON STOCK ("CLASS" OR "CLASS MEMBERS")

THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.

YOU ARE HEREBY NOTIFIED that a hearing will be held on September 19, 2022, at 2:30 p.m., before the Honorable William J. Campbell Jr. at the United States District Court, Middle District of Tennessee, Fred D. Thompson United States Courthouse, 719 Church Street, Nashville, TN 37203 to determine whether: (1) the proposed settlement (the "Settlement") of the above-captioned Litigation as set forth in the Stipulation of Settlement ("Stipulation") for \$36,000,000 in cash should be approved by the Court as fair, reasonable and adequate; (2) the Judgment as provided under the Stipulation should be entered dismissing the Litigation with prejudice; (3) to award Lead Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Proposed Settlement of Class Action ("Notice"), which is discussed below) and, if so, in what amount; (4) to pay Plaintiffs for their costs and expenses in representing the Class out of the Settlement Fund and, if so, in what amount; and (5) the Plan of Allocation should be approved by the Court as fair, reasonable and adequate.

IF YOU PURCHASED OR OTHERWISE ACQUIRED NISSAN ADRs ON THE OTC MARKET OR ARE A UNITED STATES CITIZEN WHO PURCHASED OR OTHERWISE ACQUIRED NISSAN COMMON STOCK BETWEEN MAY 11, 2014 AND NOVEMBER 16, 2018, INCLUSIVE, YOUR RIGHTS ARE AFFECTED BY THE SETTLEMENT OF THIS LITIGATION.

To share in the distribution of the Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail (postmarked no later than September 14, 2022) or electronically (no later than September 14, 2022). Your failure to submit your Proof of Claim by September 14, 2022, will subject your claim to rejection and preclude your receiving any of the recovery in connection with the Settlement of this Litigation. If you purchased or acquired Nissan ADRs on the OTC Market or Nissan common stock between May 11, 2014 and November 16, 2018, inclusive, and do not request exclusion from the Class, you will be bound in the United States by the Settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement or seek exclusion from the Class) and a Proof of Claim, you may obtain these documents, as well as a copy of the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other settlement documents, online at www.NissanSecuritiesLitigation.com, or by writing to:

Nissan Securities Litigation
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 43304
Providence, RI 02940-3304

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

Inquiries, other than requests for the Notice or for a Proof of Claim, may be made to Lead Counsel:

ROBBINS GELLER RUDMAN & DOWD LLP
Ellen Gusikoff Stewart
655 West Broadway, Suite 1900
San Diego, CA 92101
Telephone: 1-800-449-4900
settlementinfo@rgrdlaw.com

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS RECEIVED BY AUGUST 29, 2022, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL CLASS MEMBERS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, THE REQUEST BY LEAD COUNSEL FOR AN AWARD OF ATTORNEYS' FEES UP TO ONE-THIRD (33-1/3%) OF THE \$36,000,000 SETTLEMENT AMOUNT AND EXPENSES NOT TO EXCEED \$250,000, AND/OR THE PAYMENT TO PLAINTIFFS FOR THEIR COSTS AND EXPENSES NOT TO EXCEED \$25,000 IN THE AGGREGATE. ANY OBJECTIONS MUST BE FILED WITH THE COURT BY SEPTEMBER 2, 2022 AND SENT TO LEAD COUNSEL AND DEFENDANTS' COUNSEL BY AUGUST 29, 2022, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

Dated: May 26, 2022

BY ORDER OF THE COURT
UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF TENNESSEE

1 The Stipulation can be viewed and/or obtained at www.NissanSecuritiesLitigation.com

CLASS ACTIONS

If you purchased certain named generic pharmaceutical drugs directly from certain pharmaceutical manufacturers from May 1, 2009 through December 31, 2019, your rights may be affected by proposed class action settlements.

A federal court authorized this notice. This is not a solicitation from a lawyer.

What is the lawsuit about? Two proposed settlements (the "Settlements") have been reached in a class action lawsuit (the "Lawsuit"), which alleges that Sun Pharmaceutical Industries, Inc. and its affiliates Caraco Pharmaceutical Laboratories, Ltd., Mutual Pharmaceutical Company, Inc., and URL Pharma, Inc., and Taro Pharmaceuticals U.S.A., Inc. (collectively "Settling Defendants") violated the federal antitrust laws by conspiring with other generic drug manufacturers to fix, maintain, and stabilize prices, rig bids, and engage in market and customer allocations of certain generic drugs (the "Named Generic Drugs"), causing direct purchasers of the Named Generic Drugs to pay more than they should have. The Settling Defendants deny liability as alleged in the Lawsuit. The Court has not decided who is right. The proposed Settlements do not resolve any of the claims of the Settlement Class against the remaining Defendants. The Lawsuit against the remaining Defendants is ongoing.

Who is included? The Court certified a Settlement Class that includes all persons or entities, and their successors and assigns, that directly purchased one or more of the Named Generic Drugs from one or more Defendants in the United States and its territories and possessions, at any time during the period from May 1, 2009 through December 31, 2019. Excluded from the Settlement Class are Defendants and their present and former officers, directors, management, employees, subsidiaries, or affiliates, judicial officers and their personnel, and all governmental entities. The Settlement Agreements listing the Named Generic Drugs and Defendants are available on the settlement website: GenericDrugsDirectPurchaserSettlement.com. The Settlement Agreements also are on public file with the United States District Court for the Eastern District of Pennsylvania, 601 Market Street, Philadelphia, PA 19106 in the case *In re: Generic Pharmaceuticals Pricing Antitrust Litigation*, Case No. 2:16-MD-02724.

What do the Settlements provide? The proposed Settlements provide for the following payments by Settling Defendants: (1) \$17,357,000 payment by Sun Pharmaceutical Industries, Inc. and its affiliates Caraco Pharmaceutical Laboratories, Ltd., Mutual Pharmaceutical Company, Inc., and URL Pharma, Inc. and (2) \$67,643,000 payment by Taro Pharmaceuticals U.S.A., Inc. These payments (collectively \$85,000,000) will comprise the total "Settlement Fund." The Settlement Fund may be reduced by up to \$10 million or increased to a maximum of \$105 million under certain circumstances as explained in the Settlement Agreements. In addition, the attorneys who have worked on the Lawsuit for the Settlement Class will seek Court approval to pay expenses, attorneys' fees of up to one-third of the Settlement Fund, including interest, after expenses (and service awards) are deducted, and service awards for the class representatives (or named plaintiffs) out of the Settlement Fund. Any motion for expenses and service awards and to set aside one-third of the remaining Settlement Fund (plus accrued interest) for payment of attorneys' fees will be posted on the settlement website GenericDrugsDirectPurchaserSettlement.com once they are filed on August 9, 2022. Settlement Class Counsel will file a motion for an award of fees at a later appropriate time.

The calculations of the dollar amount that each Settlement Class Member that submits a Claim Form will be paid from the Settlement Fund is set forth in the Plan of Allocation, which also is available on GenericDrugsDirectPurchaserSettlement.com.

What are your options? If you are a Settlement Class Member and you do nothing, you will remain in the Settlement Class and be eligible to participate in the Settlements as described in this notice, if the Settlements are approved. However, you will need to complete, sign, and return the Claim Form (once it is sent to you) in order to obtain a payment. It is anticipated that Defendants' sales data will be used to calculate Settlement Class Members' eligible purchases and *pro rata* share of the Net Settlement Fund, but if such data is not available from Defendants then you may be required to submit data showing your eligible purchases. We do not know when the Claim Forms will be mailed. You should check GenericDrugsDirectPurchaserSettlement.com for information regarding timing. If you did not receive a Notice in the mail, and you think you are a potential Settlement Class Member, please identify yourself or your company by letter to the following address: *In re: Generic Pharmaceuticals Pricing Antitrust Litigation* - Direct Purchasers, c/o A.B. Data, Ltd., P.O. Box 173095, Milwaukee, WI 53217, or send an email to info@GenericDrugsDirectPurchaserSettlement.com, or call 877-315-0583. You may be required to submit proof of a qualifying purchase to establish that you are a member of the Settlement Class. Claimants may also be required to submit purchase data as part of the claims process. As a Settlement Class Member, unless you opt out of the Settlements, you will be bound by all orders and judgments of the Court.

In addition, you may request exclusion from (or opt out of) the Settlements and may object to the Settlements if you do not opt out. Instructions for opting out or objecting can be found in the publicly-available case file and website, as described above. You must mail your request to opt out or your objection by September 23, 2022. The Court will hold a Fairness Hearing on December 13, 2022 at 1:30 p.m. EST to decide whether to approve the Settlements and any requests for fees, expenses, and service awards for the class representatives. The Court will also consider a Plan of Allocation for distributing the Settlement Fund to Settlement Class Members. If there are objections, the Court will consider them at the hearing. You do not need to attend the hearing. If you wish to appear at the hearing, you must file a "Notice of Intention to Appear" with the Court and you may hire your own attorney to appear in Court for you at your own expense.

For more information: Go to the website: GenericDrugsDirectPurchaserSettlement.com or call 877-315-0583 for more information on the Settlements, the Lawsuit, and your potential rights and options related to the Settlements. The website includes, for example, a list of the generic drugs that you would have had to purchase and a list of the generic drug manufacturers that you would have had to purchase directly from in order to be eligible for a payment.

LEGAL NOTICE

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SJUNDE AP-FONDEN and THE CLEVELAND BAKERS AND TEAMSTERS PENSION FUND, individually and on behalf of all others similarly situated, Plaintiffs,

vs.

GENERAL ELECTRIC COMPANY, et al., Defendants.

Case No. 1:17-cv-8457-JMF

SUMMARY NOTICE OF PENDENCY OF CLASS ACTION

TO: ALL PERSONS AND ENTITIES THAT PURCHASED OR ACQUIRED GENERAL ELECTRIC COMPANY ("GE") COMMON STOCK BETWEEN FEBRUARY 29, 2016 AND JANUARY 23, 2018, INCLUSIVE AND WERE DAMAGED THEREBY (THE "CLASS").¹

YOU ARE HEREBY NOTIFIED, pursuant to Federal Rule of Civil Procedure ("Rule") 23 and by Order of the United States District Court for the Southern District of New York, that the above-captioned action ("Action") against GE and former GE executive Jeffrey Bornstein (together, "Defendants"), has been certified as a class action on behalf of the Class. The Court has appointed Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund as the representatives for the Class ("Class Representatives"). The Action has not been adjudicated or settled. This notice is not an admission by Defendants or an expression of any opinion by the Court as to the merits of the Action, or a finding by the Court that the claims asserted by Class Representatives in the Action are valid. This notice is intended only to inform members of the Class that the Action is currently in progress.

IF YOU ARE A MEMBER OF THE CLASS, YOUR RIGHTS WILL BE AFFECTED BY THE LAWSUIT. This notice provides only a summary of the information contained in the detailed, long-form Notice of Pendency of Class Action ("Notice"). You may obtain a copy of the Notice from the website for the Action, www.GeneralElectricSecuritiesLitigation.com, or by contacting the Administrator:

General Electric Securities Litigation
c/o JND Legal Administration
P.O. Box 91449
Seattle, WA 98111

If you are a Class member you should receive a Postcard Notice regarding the Action by mail. If you are a Class member and you do not receive a Postcard Notice by mail, please send your name and address to the Administrator so that you will receive any future notices disseminated in connection with the Action.

Inquiries, other than requests for the Notice, may be made to Court-appointed Class Counsel:

KESSLER TOPAZ MELTZER & CHECK, LLP
Sharan Nirmul, Esq.
Richard A. Russo, Jr., Esq.
280 King of Prussia Road
Radnor, PA 19087
Telephone: (610) 667-7706
info@ktmc.com
www.ktmc.com

If you are a Class member, you have the right to decide whether to remain a member of the Class. If you choose to remain a member of the Class, you do not need to do anything at this time other than retain your documentation reflecting your transactions and holdings in GE common stock. You will automatically be included in the Class, and you will be bound by the proceedings in the Action, including all past, present, and future orders and judgments of the Court, whether favorable or unfavorable. If you are a Class member and do not wish to remain a member of the Class, you must take steps to exclude yourself from the Class.

If you timely and validly request to be excluded from the Class, you will not be bound by any orders or judgments in the Action, and you will not be eligible to receive a share of any money which might be recovered in the future for the benefit of the Class. To exclude yourself from the Class, you must submit a written request for exclusion postmarked no later than August 15, 2022, in accordance with the instructions set forth in the Notice. Pursuant to Rule 23(c)(4), the Court has discretion as to whether a second opportunity to request exclusion from the Class will be allowed if there is a settlement in the Action.

Further information may be obtained by contacting the Administrator or by visiting the website www.GeneralElectricSecuritiesLitigation.com.

Please Do Not Call or Write the Court with Questions.

DATED: June 24, 2022
BY ORDER OF THE COURT
UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

¹ Excluded from the Class are: (a) Defendants; (b) GE's subsidiaries and affiliates; (c) any officer, director, or controlling person of GE, and members of the immediate families of such persons; (d) any entity in which any Defendant has a controlling interest; (e) Defendants' directors' and officers' liability insurance carriers, and any affiliates or subsidiaries thereof; and (f) the legal representatives, heirs, successors, and assigns of any such excluded party.

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PUBLIC NOTICES

IN THE GRAND COURT OF THE CAYMAN ISLANDS
FINANCIAL SERVICES DIVISION
CASE NO: FSD 0020 OF 2022 (DD)
IN THE MATTER OF THE COMPANIES ACT (2021 REVISION)
AND IN THE MATTER OF SECOO HOLDING LIMITED
TAKE NOTICE that that a petition for an order that Secoo Holding Limited whose registered office is c/o Oisiris International Cayman Limited, Suite 146-210, Governors Square, 23 Lime Tree Bay Avenue, P.O. Box 32311, Grand Cayman, KY1-1209, Cayman Islands be put into liquidation and wound up in accordance with the provisions of the Companies Act has been presented to the Grand Court of the Cayman Islands (Petitioner).

The Petition was presented by FundPark Limited, a limited company incorporated in Hong Kong S.A.R., the People's Republic of China, registered address at 16th Floor, No. 9 Chong Yip Street, Kwun Tong, Kowloon, Hong Kong (Petitioner). Copies of the Petition and supporting affidavits may be obtained free of charge from the Petitioner's attorneys, Travers Thorp Alberga, Harbour Place, 2nd Floor, PO Box 472, 103 South Church Street, Grand Cayman, KY-1106, Cayman Islands, reference: F0590-001, tpat@traversthorpalberga.com & djin@traversthorpalberga.com.

The Petition seeks an order that Margot MacInnis of Grant Thornton Specialist Services (Cayman) Limited, 2nd Floor, Century Yard, Cricket Square, PO Box 1044, Grand Cayman, KY-1102, Cayman Islands and Mr. Mat Ng of Grant Thornton Recovery & Reorganisation Limited, 11th Floor, Lee Garden Two, 28 Yuen Ping Rd, Causeway Bay, Hong Kong be appointed as official liquidators of the Company.

AND FURTHER TAKE NOTICE that the hearing of the Petition will take place on 7 July 2022 at the Law Courts, George Town, Grand Cayman at 10:00am and that any creditor or shareholder of the Company may be heard on the questions whether or not a winding up order should be made and, if a winding up order is made, who should be appointed as official liquidator(s) of the Company. Any creditor or shareholder who opposes the appointment of Ms MacInnis and Mr Ng must nominate an alternative qualified insolvency practitioner(s) who consents to act and has sworn an affidavit complying with the requirements of the Companies Winding Up Rules, Order 3, rule 4. Any creditor or shareholder that wishes to appear and be heard on the Petition shall give at least 3 days' notice to the Petitioner's attorneys.

THE WALL STREET JOURNAL.

NOTABLE COMMERCIAL PROPERTIES

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Kessler Topaz Meltzer & Check, LLP Announces Pendency of Class Action Involving Purchasers of General Electric Company Common Stock

NEWS PROVIDED BY

JND Legal Administration →

Jun 24, 2022, 09:24 ET

SEATTLE, June 24, 2022 /PRNewswire/ --

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SJUNDE AP-FONDEN and THE CLEVELAND BAKERS AND TEAMSTERS PENSION FUND, individually and on behalf of all others similarly situated,

Plaintiffs,

v.

GENERAL ELECTRIC COMPANY, et al.,

Defendants.

Case No. 1:17-cv-8457-JMF

SUMMARY NOTICE OF PENDENCY OF CLASS ACTION

This notice is directed to all persons and entities that purchased or acquired General Electric Company ("GE") common stock between February 29, 2016 and January 23, 2018, inclusive and were damaged thereby (the "Class"). Excluded from the Class are: (a) Defendants; (b) GE's subsidiaries and affiliates; (c) any officer, director, or controlling person of GE, and members of the immediate families of such persons; (d) any entity in which any Defendant has a controlling interest; (e) Defendants' directors' and officers' liability insurance carriers, and any affiliates or subsidiaries thereof; and (f) the legal representatives, heirs, successors, and assigns of any such excluded party.

YOU ARE HEREBY NOTIFIED, pursuant to Federal Rule of Civil Procedure ("Rule") 23 and by Order of the United States District Court for the Southern District of New York, that the above-captioned action ("Action") against GE and former GE executive Jeffrey Bornstein (together, "Defendants"), has been certified as a class action on behalf of the Class. The Court has appointed Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund as the representatives for the Class ("Class Representatives"). The Action has not been adjudicated or settled. This notice is not an admission by Defendants or an expression of any opinion by the Court as to the merits of the Action, or a finding by the Court that the claims asserted by Class Representatives in the Action are valid. This notice is intended only to inform members of the Class that the Action is currently in progress.



IF YOU ARE A MEMBER OF THE CLASS, YOUR RIGHTS WILL BE AFFECTED BY THE LAWSUIT. This notice provides only a summary of the information contained in the detailed, long-form Notice of Pendency of Class Action ("Notice"). You may obtain a copy of the Notice from the website for the Action, www.GeneralElectricSecuritiesLitigation.com, or by contacting the Administrator:

General Electric Securities Litigation

c/o JND Legal Administration

P.O. Box 91449

Seattle, WA 98111

If you are a Class member you should receive a Postcard Notice regarding the Action by mail. If you are a Class member and you do not receive a Postcard Notice by mail, please send your name and address to the Administrator so that you will receive any future notices disseminated in connection with the Action.

Inquiries, other than requests for the Notice, may be made to Court-appointed Class Counsel:

**KESSLER TOPAZ MELTZER
& CHECK, LLP**

Sharan Nirmul, Esq.

Richard A. Russo, Jr., Esq.

280 King of Prussia Road

Radnor, PA 19087

Telephone: (610) 667-7706

info@ktmc.com

www.ktmc.com

If you are a Class member, you have the right to decide whether to remain a member of the Class. ***If you choose to remain a member of the Class, you do not need to do anything at this time other than retain your documentation reflecting your transactions and holdings in GE common stock.*** You will automatically be included in the Class, and you will be bound by the proceedings in the Action, including all past, present, and future orders and judgments of the Court, whether favorable or unfavorable. If you are a Class member and **do not** wish to remain a member of the Class, you **must** take steps to exclude yourself from the Class.

If you timely and validly request to be excluded from the Class, you will not be bound by any orders or judgments in the Action, and you will not be eligible to receive a share of any money which might be recovered in the future for the benefit of the Class. To exclude yourself from the Class, you must submit a written request for exclusion **postmarked no later than August 15, 2022**, in accordance with the instructions set forth in the Notice. Pursuant to Rule 23(e)(4), the Court has discretion as to whether a second opportunity to request exclusion from the Class will be allowed if there is a settlement in the Action.

Further information may be obtained by contacting the Administrator or by visiting the website www.GeneralElectricSecuritiesLitigation.com.

Please Do Not Call or Write the Court with Questions.

BY ORDER OF THE COURT
UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SOURCE JND Legal Administration

EXHIBIT D

TIMELY EXCLUSION REQUESTS

<p>1. Deborah Ann Vennett Schenectady, NY</p> <p>2. Phillip H. Vennett Sr. (deceased) Schenectady, NY</p> <p>3. Linda M. Kapsa Schaumburg, IL</p> <p>4. Dana Perry Cumberland, RI</p> <p>5. Leyla Akinli, Custodian for Aydin James Akinli Durham, NC</p> <p>6. Susan D. Connelly Schenectady, NY</p> <p>7. Leticia G. Flynn, Custodian for Thomas F. Flynn, UGMA NY Beacon, NY</p> <p>8. Victor T. Bucci Brookfield, CT</p> <p>9. Merle L. Johnson North Syracuse, NY</p> <p>10. John E. Sikora Adena, OH</p> <p>11. Ronald Opfer Waukon, IA</p> <p>12. Katherine P. Boucher Hilliard, OH</p>	<p>13. Marissa Koziar Nutley, NJ</p> <p>14. Richard M. Brown Detroit, MI</p> <p>15. Christine E. Klepacz & The Janusz Klepacz Irrev. Trust Bethesda, MD</p> <p>16. Kathleen M. Kenney Carlisle, PA</p> <p>17. Walter Pius (deceased) & Janet Pius Erie, PA</p> <p>18. Catherine J. Furay on behalf of Elizabeth Furay (deceased) Madison, WI</p> <p>19. Pamela M. Stratton Arlington, VA</p> <p>20. Donald P. Walters Guilford, CT</p> <p>21. Otho Kinser & Mary Kinser Roodhouse, IL</p> <p>22. Vera K. Orthlieb on behalf of the Estate of Mary J. Kemper (deceased) Wallingford, PA</p> <p>23. Dominic F. Amorosa & Dominic F. Amorosa Esq. Profit Sharing Plan Short Hills, NJ</p>
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TIMELY EXCLUSION REQUESTS

24. Beverly Joyce Roy & Donald Authur Roy Bradenton, FL	36. Mark O. Wechsler Covina, CA
25. Mark Coleman Nutley, NJ	37. Jeanne Richter Jacksonville, FL
26. Richard B. McCarty & Mary Ann McCarty Fredericktown, PA	38. Gary Nesser Yorktown, VA
27. Cynthia Patricia Fetterman Red Bank, NJ	39. Satoya Clark Baltimore, MD
28. Jean M. Smith Cedarburg, WI	40. Wayne E. Watkins & Shirley O. Watkins Durham, NC
29. Ray Larry Burns Houston, TX	41. David J. Laird (deceased) & Mary Lee Laird Erie, PA
30. Hannah Roberts Leakesville, MS	42. Diana Lee Simmons Vernon, CT
31. James S. Allen El Paso, TX	43. Ann Houseman on behalf of Evan Kenny Houseman (deceased) Hockessin, DE
32. Mary Ellen McNulty San Jose, CA	44. Vincent J. Acampora Austin, TX
33. Melanie A. Dugan Santa Fe, NM	45. Sherif Robert Hesni Washington, DC
34. Thomas John Little Harrisburg, PA	46. Timothy A. Fram Lowell, MA
35. Jeffrey W. Connelly Schenectady, NY	

TIMELY EXCLUSION REQUESTS

<p>47. Jac'Queis Andrew Gooch Racine, WI</p> <p>48. John Billington Kremmling, CO</p> <p>49. Peter W. Flagg & Mayra C. Flagg Riverview, FL</p> <p>50. Scott Stephens Daly City, CA</p> <p>51. James Douglas Wilson Cheyenne, WY</p> <p>52. Joan M. Brengelman Trussville, AL</p> <p>53. William S. Erickson Clearwater, FL</p> <p>54. Steven R. Feller Fort Collins, CO</p> <p>55. Michelle L. Feller Fort Collins, CO</p> <p>56. Charles (Chuck) Anthony Piccirillo Provincetown, MA</p> <p>57. Thomas A, Bundros Dalton, GA</p> <p>58. Tonda B. Dunbar Middleburgh, NY</p> <p>59. Antonia C. Shoham, TTEE UA DTD 7/13/2013 Duxbury, MA</p>	<p>60. Robert O. Yantz on behalf of Robert M. Yantz (deceased) Millersville, PA</p> <p>61. Clyde W. Yoder Millersburg, OH</p> <p>62. Raymond Sai Sunnyvale, CA</p> <p>63. Maria Javier Trapani Miami, FL</p> <p>64. Walter A. Meller Abilene, TX</p> <p>65. JC&ME Enterprises, LLC, Lynda Birch, Manager Oklahoma City, OK</p> <p>66. Allene Haskovec on behalf of Ivan Haskovec (deceased) Littleton, CO</p> <p>67. Robert W. Sanders Sequim, WA</p> <p>68. David R. Anderson North Ridgeville, OH</p> <p>69. Helen K. Young Bensalem, PA</p> <p>70. Lois H. Friedrichsen Larkspur, CO</p> <p>71. Yoon Chee Chia (David Chia) Singapore</p>
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TIMELY EXCLUSION REQUESTS

<p>72. Patricia W. Hardwick on behalf of Michael Hardwick (deceased) Aiken, SC</p>	<p>84. Steven M. Brown Paradise, CA</p>
<p>73. Elizabeth M. Rutherford Camano Island, WA</p>	<p>85. Candyce D. Morris Crestline, CA</p>
<p>74. Paul T. Newbourne & Laura E. Newbourne Signal Mountain, TN</p>	<p>86. Judith B. Steinberg on behalf of the Estate of Sylvan J. Steinberg (deceased) New Orleans, LA</p>
<p>75. Mary K. Fuhrman & Daryl Fuhrman Aberdeen, SD</p>	<p>87. Greg Steven Highfill Las Vegas, NV</p>
<p>76. Ronald Dong Emeryville, CA</p>	<p>88. Edward F. Dash Highlands Ranch, CO</p>
<p>77. Marcella Francesse Enos Fair Oaks, CA</p>	<p>89. Alexander Blase Tarantino Carmel, CA</p>
<p>78. Cornwal K.S. Matsusaka Aiea, HI</p>	<p>90. Paula Rose Tarantino Carmel, CA</p>
<p>79. Gerald R. De Groot Bainbridge Island, WA</p>	<p>91. Joe Vincent Tarantino Carmel, CA</p>
<p>80. Marie J. Fligsten Bainbridge Island, WA</p>	<p>92. Joseph A. Walker Summerville, SC</p>
<p>81. Michele A. Cannella, TTEE on behalf of the Estate of Jack and Donna Haygood Family Trust Davie, FL</p>	<p>93. Fraser C. Wong Las Vegas, NV</p>
<p>82. Marie U. Stoker Napa, CA</p>	<p>94. Barbara J. Dash Highlands Ranch, CO</p>
<p>83. Jean M. Brown Paradise, CA</p>	<p>95. Steven M. McBride on behalf of Patricia A. McBride (deceased) Jacksonville, FL</p>

TIMELY EXCLUSION REQUESTS

<p>96. Jane Louise Ballinger Estes & Charles A Estes (deceased) Salt Lake City, UT</p> <p>97. Florence M. Evans & Peter & Florence Evans Rev Trust Ellicott City, MD</p> <p>98. Carroll Duff No Address Provided</p> <p>99. Cheryll Smith Union Gap, WA</p> <p>100. Joseph R. Ramirez Baldwin City, KS</p> <p>101. Angela C. Paulsen Buckeye, AZ</p> <p>102. Martin L. Paulsen Buckeye, AZ</p> <p>103. Brian Ray Heaps Redding, CA</p> <p>104. Kimberley Ruth Heaps Redding, CA</p> <p>105. Jeff R. Hoerr Mapleton, IL</p> <p>106. Barbara L. Hoerr Mapleton, IL</p> <p>107. Leonard DeStefano Drexel Hill, PA</p>	<p>108. Eileen DeStefano Drexel Hill, PA</p> <p>109. Patrick J. Thorn Kingwood, WV</p> <p>110. Patten Stewart Marble Falls, TX</p> <p>111. Elizabeth A. Clark on behalf of The Estate of Paul S. Clark Glenview, IL</p> <p>112. Annamaria F. Demiris Woodstock, GA</p> <p>113. Daniel Ray Verhelle Linden, MI</p> <p>114. Gregory Dean Isaac Parma, MI</p> <p>115. Gloria Uzzell North Fort Myers, FL</p> <p>116. John C. Chanas, executor on behalf of the Estate of Peter King III Greensboro, NC</p> <p>117. Steven Cohen Valley Stream, NY</p> <p>118. Kathleen M. Bartin Chambersburg, PA</p> <p>119. Jack R. Cox Grayville, IL</p>
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TIMELY EXCLUSION REQUESTS

<p>120. Kristin Perchal Fort Calhoun, NE</p> <p>121. Albert J. Peterson & Evelyn Arnell Peterson Eau Claire, WI</p> <p>122. Wei Yu Philadelphia, PA</p> <p>123. Randall John Anderson Barnum, MN</p> <p>124. Douglas J. Guillot Plattenville, LA</p> <p>125. Stephen M. Richards Bakersfield, CA</p> <p>126. Virginia L. Verburg Richmond, TX</p> <p>127. Madeline Anes Hagen & Sally Anes (deceased) San Antonio, TX</p> <p>128. Perry H. McGowan Forest Lake, MN</p> <p>129. Emery Wong Pomona, CA</p> <p>130. Michelle Annette Schumacher Anaheim, CA</p> <p>131. Robert J. Woessner Apex, NC</p>	<p>132. Roylee Pflughoeft & Jane Plowman on behalf of the Frederick & Roylee Pflughoeft Rev. Liv. Trust dated 11/02/1992 Wauwatosa, WI</p> <p>133. James A. Blackledge Omak, WA</p> <p>134. Suzanne Fonda Manassas, VA</p> <p>135. Joseph D. Russo New York, NY</p> <p>136. Wendy Abramowitz Glen Cove, NY</p> <p>137. Diana Rank Arma, KS</p> <p>138. Elisabeth A. Hayden, TTEE on behalf of the Elisabeth Auld Hayden Rev Trust La Jolla, CA</p> <p>139. Nancy Bosch & Wim Bosch (deceased) Avilla, IN</p> <p>140. Sharyn L. Nichols Lexington, KY</p> <p>141. Jean Marie Eby Seville, OH</p> <p>142. Brady Gambone Lexington, MA</p>
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TIMELY EXCLUSION REQUESTS

143. William E. Boyke & Elaine A. Boyke Plymouth, MI	154. Sandra Tunik & Ira Tuni(deceased) Santa Rosa, CA
144. Duane Willman & Tina Willman The Colony, TX	155. Jane B. Greene TTEE on behalf of the Jay W Greene and Jane B Greene T Tr U/A dtd 10/19/1993 Muncie, IN
145. Kathleen D. Anderson on behalf of the Kathleen D. Stiller Rev Liv Trust U/A Dtd. 11/12/1996 Dallas, OR	156. Harvey Brower Holland, MI
146. Jo Jacquelyn Lazzell Punta Gorda, FL	157. Thomas M. Jakub and Elvira J. Jakub Vienna, VA
147. John M. Gregory Sarasota, FL	158. Esgardo Acosta Schuyler, NE
148. Mary Lou Yindra Ivoryton, CT	159. Monica N. Brown Lynchburg, VA
149. Jennifer M. Lynch Mahopac, NY	160. Jean F. King on behalf of the Walter L. Wetzel Estate Phoenix, AZ
150. Torry Arnold Olsen Mandal, Norway	161. Judith A. Rowe Brookville, IN
151. Diana Lejeune Centralia, WA	162. Tony Khanh Truong Houston, TX
152. Virginia M. Florczyk & Alexander P. Florczyk (deceased) Denver, NC	163. Nigel Whincup Berkshire, United Kingdom
153. James S. Tisch on behalf of the James S. Tisch 1991 Trust New York, NY	164. Janiece Beinke Alabaster, AL
	165. Melanie Cox East Liverpool, OH

TIMELY EXCLUSION REQUESTS

<p>166. Patricia E. Collins and T. G. Collins TR St. Maries, ID</p> <p>167. Charles Presley Hiltons, VA</p> <p>168. Kasahara Yoshio The Trizon, Singapore</p> <p>169. Gloria Carruth Kingwood, TX</p> <p>170. Manas Pal Sunnyvale, CA</p> <p>171. Emily M. Clayton Bosque Farms, NM</p> <p>172. Robert David Young Springfield, OH</p> <p>173. Thomas R. Hays & Lori Jean Hays Aurora, OR</p> <p>174. Ronald A. Detert Brillion, WI</p> <p>175. James A. Karlowicz & Connie L. Karlowicz Dover, OH</p> <p>176. Marshall Stephen McKee Novato, CA</p> <p>177. Sonny T. Hoang San Jose, CA</p>	<p>178. Sharon Reuter Zigler TTEE on Behalf of the Johnson Family Trust Galesburg, IL</p> <p>179. Brian P. Conley Arvada, CO</p> <p>180. Chunjian Ren Irvine, CA</p> <p>181. Ronald D Anderson Sleepy Hollow, IL</p> <p>182. Philip T. Shaughnessy Grass Lake, MI</p> <p>183. LaVerda Sue Johnson Redmond, UT</p> <p>184. Alan L. Gardner Warner Robins, GA</p> <p>185. Wanda Leone Anna, TX</p> <p>186. Diana K. Stewart Hale, MO</p> <p>187. Dennis M. Egan & Margaret I. Egan Oakton, VA</p> <p>188. William George Valance South Setauket, NY</p> <p>189. Julee Hoang Do San Jose, CA</p>
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TIMELY EXCLUSION REQUESTS

<p>190. Resa Wilcox on behalf of Resa Clark Wilcox TOD Tina Jo Briggs, Leslie Franz, Marlee Snow and Resa Clark Wilcox Melody Clark Bondurant, Exec Est of Dorothy S. Clark Saint Augustine, FL</p>	<p>201. Mary Ruth Williams Fuquay-Varina, NC</p>
<p>191. Mary W. Hartman Albuquerque, NM</p>	<p>202. Richard D. Simmons II Graham, NC</p>
<p>192. D. Todd Durham on behalf of Walter M. Overbeck (deceased) Cincinnati, OH</p>	<p>203. Harvey Schmidt No Address Provided</p>
<p>193. William F. Northcutt Cincinnati, OH</p>	<p>204. John Rohrl & Helen Rohrl Newnan, GA</p>
<p>194. Carol J. Shoulders Princeton, IN</p>	<p>205. Paul Letkiewicz Erie, PA</p>
<p>195. Darlene Decker Broken Bow, OK</p>	<p>206. Vickie E. Amendola Valdosta, GA</p>
<p>196. Jose Luis Baldor Larchmont, NY</p>	<p>207. Doris E. Darnall Savannah, GA</p>
<p>197. Beau Allen West Nicholasville, KY</p>	<p>208. John D. Ridenour Orlando, FL</p>
<p>198. John W. Parthum Jr. Grosse Pointe Woods, MI</p>	<p>209. Sarah C. King Barbourville, KY</p>
<p>199. Barbara F. Roth Madison, WI</p>	<p>210. Sandra K. Johnson LaGrange, GA</p>
<p>200. Tahir C. Belbez & Ayse M. Belbez Burlington, ON, Canada</p>	<p>211. Donna Jean Wiskow Kaukauna, WI</p>
	<p>212. Ahmet Tuter Ankara, Turkey</p>
	<p>213. Harald Nissen on behalf of ODIN Forvaltning AS Oslo, Norway</p>

TIMELY EXCLUSION REQUESTS

<p>214. Yu S. Kim on behalf of Dylan Bomin Kim Laguna Woods, CA</p> <p>215. Yu S. Kim on behalf of Travis Gyumin Kim Laguna Woods, CA</p> <p>216. Yu S. Kim & Jin A. Kim Laguna Woods, CA</p> <p>217. Yu S. Kim Laguna Woods, CA</p> <p>218. Mila Ordonez on behalf of Felipe A. Ordonez Jr. Sugarland, TX</p> <p>219. Erik Sorensen Burnaby, BC, Canada</p> <p>220. Maryanne T. Harris Mashpee, MA</p> <p>221. Edith Yu on behalf of Richard T. Yu (deceased) Berkeley, CA</p> <p>222. Ronald Uptigrove Bastrop, LA</p> <p>223. Patricia Cosimano for Bernard Cosimano (deceased) Southold, NY</p> <p>224. Donna Knudsen Joyner Deltona, FL</p>	<p>225. Geraldine Rosner Hollywood, FL</p> <p>226. Geraldine Rosner for Heather Rosner Hollywood, FL</p> <p>227. Daniel L. Eckert Kewaunee, WI</p> <p>228. Jacoba J. Van Sitteren Loosdrecht, Netherlands</p> <p>229. Linda Dodd Chandler on behalf of Anne B. Dodd (deceased) Estate Angier, NC</p> <p>230. Susan Hay on behalf of Marie B. Kran (deceased) Arvada, CO</p> <p>231. Joshua Mayer Colorado Springs, CO</p> <p>232. Cindy Weinberg Russo Trussville, AL</p> <p>233. Nancy H. Brown Sherwood, OR</p> <p>234. Julia Beth Scheerer Rockville, MD</p> <p>235. James Norman Frame on behalf of the Estate of Peter Franklin Frame Charleston, WV</p>
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TIMELY EXCLUSION REQUESTS

<p>236. Ann Cromptin Tippin Prestney TTEE for the Ross S. and Ruth C. Tippin, Ann C.T. Prestney Trust New Hope, PA</p>	<p>247. Janis (Mahaney) Cole on behalf of the Estate of Carroll Edward Mahaney (deceased) Vestavia, AL</p>
<p>237. Ann Crompton Tippin Prestney New Hope, PA</p>	<p>248. Pamela C. Goddard and Gary W. Goddard Concord, NH</p>
<p>238. Teri L. Giovanine Hodges Bishop, CA</p>	<p>249. Helen D. Parnell Winslow, ME</p>
<p>239. Matthew P. Abell Woburn, MA</p>	<p>250. William J. Miller New Berlin, WI</p>
<p>240. William C. Martin Quitman, TX</p>	<p>251. Stanley M. Schleusener TTEE on behalf of Stanley M. Schleusener Family Trust U/A DTD 7/28/2014 Tomah, WI</p>
<p>241. Ursula C. Pasquerella & Anthonay Pasquerella Schenectady, NY</p>	<p>252. Susan Hannigen Butler on behalf of Hattie W. Hannigen Family Trust Medfield, MA</p>
<p>242. Linda S. Smith Torrance, CA</p>	<p>253. Susan Hannigen Butler on behalf of Robert C. Hannigen Trust DTD 04/30/1992 Medfield, MA</p>
<p>243. Stewart L. Haimson Las Vegas, NV</p>	<p>254. Thomas Theodore Saunders Arlington, WA</p>
<p>244. Mary Belinda Gosson Bellevue, WA</p>	<p>255. William S. Miller New Berlin, WI</p>
<p>245. Matthias N. Steinbruechel Mannedorf, Switzerland</p>	<p>256. Juanita M. Andres Phoenix, AZ</p>
<p>246. Patricia E. Keene Tucson, AZ</p>	

TIMELY EXCLUSION REQUESTS

<p>257. Carolyn Westbrook Bialik Towson, MD</p> <p>258. Pamela Jo Stillwell Dunkirk, MD</p> <p>259. Christine K. Yonkos Washington, MI</p> <p>260. Michael J. McCarthy Canton, CT</p> <p>261. Patricia Boehm Lancaster, VA</p> <p>262. Troy Officer Bloomington, MN</p> <p>263. Mazen Atwi Lafayette, LA</p> <p>264. Ramona Mielusel Lafayette, LA</p> <p>265. Marjorie Louise Neal Jacksonville, OR</p> <p>266. Paul W. Frattini Flat Rock, MI</p> <p>267. James Dam Oneida, NY</p> <p>268. Andrew Lee Tucker Austin, TX</p> <p>269. Judy Harmon Lake Park, IA</p>	<p>270. Kathleen A Perrine Bloomsburg, NJ</p> <p>271. Steve Van Gheem ACF James Van Gheem Andover, MN</p> <p>272. Belinda Ang Singapore</p> <p>273. Robert N. Pence Vienna, VA</p> <p>274. Thomas Dale Hire Lewisville, NC</p> <p>275. Mark A. Pipis & Virginia L. Pipis Dundee, MI</p> <p>276. Gina L. Stockmann Wright City, MO</p> <p>277. Nathan Loral Hajduk Wyandotte, MI</p> <p>278. Sandra L. Hoffmann Temperance, MI</p> <p>279. Daniel Ivan Luketic Kirtland, OH</p> <p>280. Inge Olav Waage & Anne Karin Waage Notodden, Norway</p> <p>281. Thomas S. Ogata and Jean H. Ogata for the JWM Rev Liv Trust Plano, TX</p>
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TIMELY EXCLUSION REQUESTS

<p>282. Connor Mason Fillee, NE</p> <p>283. Matthew A. Ubelhor Evansville, IN</p> <p>284. Graeme Shirley San Diego, CA</p> <p>285. June Catherine Simon Saint John, IN</p> <p>286. Linda E. Derber Hayward, WI</p> <p>287. Robert Gagnon Laval, QC, Canada</p> <p>288. Robert Gagnon on behalf of Entreprises Fiscale Taxe R.L. Inc. Laval, QC, Canada</p> <p>289. Joachim Brandmaier of Weiler & Eberhardt Depotverwaltung AG on behalf of IP Concept for the following funds: Stuttgarter- Aktien-Fonds, Stuttgarter Dividendenfonds, and Stuttgarter Energiefonds Stuttgart, Germany</p>	<p>290. Jennifer Q. Russell, Trustee on behalf of the Kathlyn W. Quig Trust UA 11/19/93 George E. Quig Trust Milton, VT</p> <p>291. Fu Sheng Wu Belmont, MA</p> <p>292. Robert W. McGuire San Francisco, CA</p> <p>293. Gerd Enke Wolfsburg, Germany</p> <p>294. Monica M. Miszczak Milwaukee, WI</p> <p>295. Larry H. Coots Scottsboro, AL</p> <p>296. Linda Lafuze Richmond, IN</p> <p>297. Marje-Kai Kirves Peterborough, ON, Canada</p> <p>298. Shona Gourlay Calgary, AB, Canada</p> <p>299. Adam David Geltz Columbia, PA</p> <p>300. Kensuke Koda Tokyo, Japan</p>
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TIMELY EXCLUSION REQUESTS

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| <p>301. Doreen Hanna
Nanoose Bay, BC, Canada</p> <p>302. Sarah Congleton King on behalf
of Martha Viall Congleton
(deceased) & Curtis D
Congleton Sr. (deceased)
Barbourville, KY</p> <p>303. Chan Yuen Shan
N.T. Hong Kong</p> <p>304. Benjamin E. Ramp & Kathleen
M. Ramp on
behalf of the Benjamin E. and
Kathleen M. Ramp Living Trust
Geneseo, IL</p> <p>305. Nicole Thome on behalf of
TBF Global Asset Management
GmbH
Einschreiben, Singen</p> <p>306. Patrick J. Flynn
Oldsmar, FL</p> | |
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EXHIBIT E

LATE EXCLUSION REQUESTS

<ol style="list-style-type: none">1. Walter Rivetti Italy2. Steven S. Fitzgerald of Wollmuth Maher & Deutsch LLP on behalf of Touchstone Strategic Trust, Touchstone Variable Series Trust, The Western and Southern Life Insurance Company, Western-Southern Life Assurance Company, Western & Southern Financial Group, Inc. and Integrity Life Insurance Company New York, NY	<ol style="list-style-type: none">3. Daisuke Kawaguchi No Address Provided4. Danielle L. Burza-Smith on behalf of Patricia Kempany as representative for the Estate of Robert Aken
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EXHIBIT F

ILLEGIBLE POSTMARK EXCLUSION REQUESTS

<ol style="list-style-type: none">1. Peter Joseph Bensko-Tarsitano San-Marcos, TX2. Brigitte Mahlo Louveciennes, France3. Bernice M. Feltz Plainfield, IL	<ol style="list-style-type: none">4. Susan E. Ryan Buzzards Bay, MA5. Anna Elisabeth Pape Toronto, ON, Canada
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