

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SJUNDE AP-FONDEN and THE
CLEVELAND BAKERS AND
TEAMSTERS PENSION FUND,
individually and on behalf of all others
similarly situated,

Plaintiffs,

v.

GENERAL ELECTRIC COMPANY, et al.,

Defendants.

Case No. 1:17-cv-8457-JMF

Hon. Jesse M. Furman

[PROPOSED] JUDGMENT APPROVING CLASS ACTION SETTLEMENT

WHEREAS, a consolidated securities class action is pending in this Court entitled *Sjunde AP-Fonden, et al. v. General Electric Company, et al.*, Case No. 1:17-cv-8457-JMF (“Action”);

WHEREAS, by Opinion and Order dated April 11, 2022 (ECF No. 314), this Court certified the Action to proceed as a class action on behalf of all persons and entities that purchased or acquired General Electric Company (“GE”) common stock between February 29, 2016 and January 23, 2018, inclusive (“Class Period”) and were damaged thereby (“Class”);¹

WHEREAS, pursuant to the Court’s Order dated May 27, 2022 (ECF No. 336), notice was disseminated to potential members of the Class to notify them of, among other things: (i) the

¹ Excluded from the Class are: (a) Defendants; (b) GE’s subsidiaries and affiliates; (c) any officer, director, or controlling person of GE, and members of the Immediate Families of such persons; (d) any entity in which any Defendant has a controlling interest; (e) Defendants’ directors’ and officers’ liability insurance carriers, and any affiliates or subsidiaries thereof; and (f) the legal representatives, heirs, successors, and assigns of any such excluded party. Also excluded from the Class are any persons and entities that submitted a request for exclusion in connection with Class Notice (defined below) as set forth on Appendix 1 to the Stipulation that do not opt back into the Class in connection with the Settlement.

Action pending against GE and Jeffrey S. Bornstein (together, “Defendants”); (ii) the Court’s certification of the Action to proceed as a class action on behalf of the Class; and (iii) their right to request to be excluded from the Class, the effect of remaining in the Class or requesting exclusion, and the requirements for requesting exclusion (“Class Notice”);

WHEREAS, Court-appointed Class Representatives Sjunde AP-Fonden and The Cleveland Bakers and Teamsters Pension Fund (together, “Class Representatives”), on behalf of themselves and the other members of the Court-certified Class, and Defendants (together with Class Representatives, the “Parties”) have determined to settle all claims asserted against Defendants in the Action with prejudice on the terms and conditions set forth in the Stipulation and Agreement of Settlement dated November 22, 2024 (“Stipulation”), subject to the approval of this Court (“Settlement”);

WHEREAS, unless otherwise defined in this Judgment, the capitalized terms herein shall have the same meaning as they have in the Stipulation;

WHEREAS, by Order dated January 14, 2025 (“Preliminary Approval Order”), this Court: (a) found, pursuant to Rule 23(e)(1)(B) of the Federal Rules of Civil Procedure, that it would likely be able to approve the Settlement as fair, reasonable, and adequate under Rule 23(e)(2); (b) ordered that notice of the proposed Settlement be provided to potential Class Members; (c) provided Class Members with the opportunity to opt back into the Class if they previously excluded themselves from the Class in connection with Class Notice or to object to the proposed Settlement; and (d) scheduled a hearing regarding final approval of the Settlement;

WHEREAS, due and adequate notice has been given to the Class;

WHEREAS, the Court conducted a hearing on April 24, 2025 (“Settlement Hearing”) to consider, among other things: (a) whether the terms and conditions of the Settlement are fair,

reasonable, and adequate to the Class, and should therefore be approved; and (b) whether a judgment should be entered dismissing the Action with prejudice as against Defendants; and

WHEREAS, the Court having reviewed and considered the Stipulation, all papers filed and proceedings held herein in connection with the Settlement, all oral and written comments received regarding the Settlement, and the record in the Action, and good cause appearing therefor;

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED:

1. **Jurisdiction** – The Court has jurisdiction over the subject matter of the Action, and all matters relating to the Settlement, as well as personal jurisdiction over all of the Parties and each of the Class Members.

2. **Incorporation of Settlement Documents** – This Judgment incorporates and makes a part hereof: (a) the Stipulation filed with the Court on November 25, 2024; and (b) the Postcard Notice, Notice, and Summary Notice, all of which were filed with the Court on March 20, 2025.

3. **Notice** – The Court finds that the dissemination and posting of the Postcard Notice and Notice and the publication of the Summary Notice: (a) were implemented in accordance with the Preliminary Approval Order; (b) constituted the best notice practicable under the circumstances; (c) constituted notice that was reasonably calculated, under the circumstances, to apprise Class Members of (i) the effect of the proposed Settlement (including the Releases to be provided thereunder); (ii) Class Counsel’s motion for attorneys’ fees and Litigation Expenses; (iii) their right to object to any aspect of the Settlement, the Plan of Allocation, and/or Class Counsel’s motion for attorneys’ fees and Litigation Expenses; (iv) their right to opt back into the Class if they previously excluded themselves from the Class in connection with Class Notice; and (v) their right to appear at the Settlement Hearing; (d) constituted due, adequate, and sufficient notice to all persons and entities entitled to receive notice of the proposed Settlement; and (e) satisfied the

requirements of Rule 23 of the Federal Rules of Civil Procedure, the United States Constitution (including the Due Process Clause), the Private Securities Litigation Reform Act of 1995, 15 U.S.C. § 78u-4, as amended, and all other applicable law and rules.

4. **CAFA Notice** - The Court finds that the notice requirements set forth in the Class Action Fairness Act of 2005, 28 U.S.C. § 1715, *et seq.*, to the extent applicable to the Action, have been satisfied.

5. **Objections** - The Court has considered the objection to the Settlement submitted pursuant to Rule 23(e)(5) of the Federal Rules of Civil Procedure. The Court finds and concludes that the objection is without merit, and is hereby overruled.

6. **Final Settlement Approval and Dismissal of Claims** – Pursuant to, and in accordance with, Rule 23(e)(2) of the Federal Rules of Civil Procedure, this Court hereby fully and finally approves the Settlement set forth in the Stipulation in all respects (including, without limitation: the amount of the Settlement; the Releases provided for therein; and the dismissal with prejudice of the claims asserted against Defendants in the Action), and finds that the Settlement is, in all respects, fair, reasonable, and adequate to the Class. Specifically, the Court finds that: (a) Class Representatives and Class Counsel have adequately represented the Class; (b) the Settlement was negotiated by the Parties at arm's length; (c) the relief provided for the Class under the Settlement is adequate taking into account the costs, risks, and delay of trial and appeal; the proposed means of distributing the Settlement Fund to the Class; and the proposed attorneys' fee award; and (d) the Settlement treats members of the Class equitably relative to each other. The Parties are directed to implement, perform, and consummate the Settlement in accordance with the terms and provisions contained in the Stipulation.

7. The Action and all of the claims asserted against Defendants in the Action by Class Representatives and the other Class Members are hereby dismissed with prejudice. The Parties shall bear their own costs and expenses, except as otherwise expressly provided in the Stipulation.

8. **Binding Effect** – The terms of the Stipulation and of this Judgment shall be forever binding on Defendants, Class Representatives, and all other Class Members (regardless of whether or not any individual Class Member submits a Claim Form or seeks or obtains a distribution from the Net Settlement Fund), as well as their respective successors and assigns. The persons and entities listed on Exhibit 1 hereto are excluded from the Class pursuant to request and are not bound by the terms of the Stipulation or this Judgment.

9. **Releases** – The Releases set forth in paragraphs 5 and 6 of the Stipulation, together with the definitions contained in paragraph 1 of the Stipulation relating thereto, are expressly incorporated herein in all respects. The Releases are effective as of the Effective Date. Accordingly, this Court orders that:

(a) Without further action by anyone, upon the Effective Date of the Settlement, Class Representatives and each of the other Class Members, on behalf of themselves, and their respective heirs, executors, administrators, predecessors, successors, assigns, representatives, attorneys, and agents, in their capacities as such, shall be deemed to have, and by operation of law and of this Judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claims (including, without limitation, Unknown Claims) against Defendants and the other Defendants' Releasees, and shall forever be barred and enjoined from prosecuting any and all of the Released Plaintiffs' Claims directly or indirectly against any of the Defendants and the other Defendants' Releasees.

(b) Without further action by anyone, upon the Effective Date of the Settlement, Defendants, on behalf of themselves, and their respective heirs, executors, administrators, predecessors, successors, assigns, representatives, attorneys, and agents, in their capacities as such, shall be deemed to have, and by operation of law and of this Judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Defendants' Claim (including, without limitation, Unknown Claims) against Class Representatives and the other Plaintiffs' Releasees, and shall forever be barred and enjoined from prosecuting any and all of the Released Defendants' Claims directly or indirectly against any of the Plaintiffs' Releasees. This release shall not apply to any person or entity listed on Exhibit 1.

10. Notwithstanding paragraphs 9(a) – (b) above, nothing in this Judgment shall bar any action by any of the Parties to enforce or effectuate the terms of the Stipulation or this Judgment.

11. **Bar Order** – Upon the Effective Date of the Settlement, the Court hereby permanently bars, extinguishes, and discharges to the fullest extent permitted by the PSLRA any and all claims for contribution or indemnification (or any other claim or claim-over, however denominated on whatsoever theory, for which the injury claimed is that person's or entity's alleged liability to Class Representatives or any Class Member) among and against Defendants' Releasees arising out of the Action and the claims that were asserted or could have been asserted therein, *provided however*, that nothing in this Bar Order shall preclude either (i) Defendants' Releasees from seeking to enforce any rights they may have under any applicable insurance policies or (ii) any right of indemnification or contribution that Defendants' Releasees may have under contract or otherwise.

12. **Judgment Reduction** – Any final verdict or judgment obtained by or on behalf of the Class or a Class Member against any person or entity subject to the Bar Order (as set forth in ¶ 11 above) based upon, arising out of, relating to, or in connection with in any way in part or in whole any Released Plaintiffs’ Claim shall be reduced by the greater of: (a) an amount that corresponds to the percentage of responsibility of Defendants for common damages; or (b) the amount paid by or on behalf of Defendants to the Class or Class Member for common damages.

13. **Rule 11 Findings** – The Court finds and concludes that the Parties and their respective counsel have complied in all respects with the requirements of Rule 11 of the Federal Rules of Civil Procedure in connection with the institution, prosecution, defense, and settlement of the Action.

14. **No Admissions** – Neither this Judgment, the Term Sheet, the Stipulation (whether or not consummated), including the exhibits thereto and the Plan of Allocation contained therein (or any other plan of allocation that may be approved by the Court), the Parties’ mediations and subsequent Settlement, the communications and/or discussions leading to the execution of the Term Sheet and the Stipulation, nor any proceedings taken pursuant to or in connection with the Term Sheet, the Stipulation, and/or approval of the Settlement (including any arguments proffered in connection therewith):

(a) shall be offered against any of the Defendants’ Releasees as evidence of, or construed as, or deemed to be evidence of any presumption, concession, or admission by any of the Defendants’ Releasees with respect to the truth of any fact alleged by Class Representatives or the validity or infirmity of any claim that was or could have been asserted or the deficiency of any defense that has been or could have been asserted in this Action or in any other litigation, or of any liability, negligence, fault, or other wrongdoing of any kind of any of the Defendants’

Releasees or in any way referred to for any other reason as against any of the Defendants' Releasees, in any arbitration proceeding or other civil, criminal, or administrative action or proceeding, other than such proceedings as may be necessary to effectuate the provisions of the Stipulation;

(b) shall be offered against any of the Plaintiffs' Releasees as evidence of, or construed as, or deemed to be evidence of any presumption, concession, or admission by any of the Plaintiffs' Releasees that any of their claims are without merit, that any of the Defendants' Releasees had meritorious defenses, or that damages recoverable under the Complaint would not have exceeded the Settlement Amount or with respect to any liability, negligence, fault, or wrongdoing of any kind, or in any way referred to for any other reason as against any of the Plaintiffs' Releasees, in any arbitration proceeding or other civil, criminal, or administrative action or proceeding, other than such proceedings as may be necessary to effectuate the provisions of the Stipulation; or

(c) shall be construed against any of the Releasees as an admission, concession, or presumption that the consideration to be given under the Settlement represents the amount which could be or would have been recovered after trial; *provided, however*, that the Parties and the Releasees and their respective counsel may refer to this Judgment and the Stipulation to effectuate the protections from liability granted hereunder and thereunder or otherwise to enforce the terms of the Settlement.

15. **Retention of Jurisdiction** – Without affecting the finality of this Judgment in any way, this Court retains continuing and exclusive jurisdiction over: (a) the Parties for purposes of the administration, interpretation, implementation, and enforcement of the Settlement; (b) the disposition of the Settlement Fund; (c) any motion for attorneys' fees and/or Litigation Expenses

in the Action that will be paid from the Settlement Fund; (d) any motion to approve the Plan of Allocation; (e) any motion to approve the Class Distribution Order; and (f) the Class Members for all matters relating to the Action.

16. Separate orders shall be entered regarding approval of a plan of allocation and the motion of Class Counsel for attorneys' fees and Litigation Expenses. Such orders shall in no way affect or delay the finality of this Judgment and shall not affect or delay the Effective Date of the Settlement.

17. **Modification of the Agreement of Settlement** – Without further approval from the Court, Class Representatives and Defendants are hereby authorized to agree to and adopt such amendments or modifications of the Stipulation or any exhibits attached thereto to effectuate the Settlement that: (a) are not materially inconsistent with this Judgment; and (b) do not materially limit the rights of Class Members in connection with the Settlement. Without further order of the Court, Class Representatives and Defendants may agree to reasonable extensions of time to carry out any provisions of the Settlement.

18. **Termination of Settlement** – If the Settlement is terminated as provided in the Stipulation or the Effective Date of the Settlement otherwise fails to occur, this Judgment shall be vacated and rendered null and void, and shall be of no further force and effect, except as otherwise provided by the Stipulation, and this Judgment shall be without prejudice to the rights of Class Representatives, the other Class Members, and Defendants, and Class Representatives and Defendants shall revert to their respective positions in the Action as of immediately prior to the execution of the Term Sheet on October 16, 2024, as provided in the Stipulation.

19. **Entry of Final Judgment** – There is no just reason to delay the entry of this Judgment as a final judgment in this Action. Accordingly, the Clerk of the Court is expressly directed to immediately enter this final judgment in this Action.

SO ORDERED this _____ day of _____, 2025.

The Honorable Jesse M. Furman
United States District Judge

Exhibit 1

List of Persons and Entities Excluded from
the Class Pursuant to Request

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| 1. Deborah Ann Vennett Schenectady, NY | 13. Marissa Koziar Nutley, NJ |
| 2. Phillip H. Vennett Sr. (deceased) Schenectady, NY | 14. Richard M. Brown Detroit, MI |
| 3. Linda M. Kapsa Schaumburg, IL | 15. Christine E. Klepacz & The Janusz Klepacz Irrev. Trust Bethesda, MD |
| 4. Dana Perry Cumberland, RI | 16. Kathleen M. Kenney Carlisle, PA |
| 5. Leyla Akinli, Custodian for Aydin James Akinli Durham, NC | 17. Walter Pius (deceased) & Janet Pius Erie, PA |
| 6. Susan D. Connelly Schenectady, NY | 18. Catherine J. Furay on behalf of Elizabeth Furay (deceased) Madison, WI |
| 7. Leticia G. Flynn, Custodian for Thomas F. Flynn, UGMA NY Beacon, NY | 19. Pamela M. Stratton Arlington, VA |
| 8. Victor T. Bucci Brookfield, CT | 20. Donald P. Walters Guilford, CT |
| 9. Merle L. Johnson North Syracuse, NY | 21. Otho Kinser & Mary Kinser Roodhouse, IL |
| 10. John E. Sikora Adena, OH | 22. Vera K. Orthlieb on behalf of the Estate of Mary J. Kemper (deceased) Wallingford, PA |
| 11. Ronald Opfer Waukon, IA | |
| 12. Katherine P. Boucher Hilliard, OH | 23. Dominic F. Amorosa & Dominic F. Amorosa Esq. Profit Sharing Plan Short Hills, NJ |

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| 24. Beverly Joyce Roy & Donald Authur Roy Bradenton, FL | 36. Mark O. Wechsler Covina, CA |
| 25. Mark Coleman Nutley, NJ | 37. Jeanne Richter Jacksonville, FL |
| 26. Richard B. McCarty & Mary Ann McCarty Fredericktown, PA | 38. Gary Nesser Yorktown, VA |
| 27. Cynthia Patricia Fetterman Red Bank, NJ | 39. Satoya Clark Baltimore, MD |
| 28. Jean M. Smith Cedarburg, WI | 40. Wayne E. Watkins & Shirley O. Watkins Durham, NC |
| 29. Ray Larry Burns Houston, TX | 41. David J. Laird (deceased) & Mary Lee Laird Erie, PA |
| 30. Hannah Roberts Leakesville, MS | 42. Diana Lee Simmons Vernon, CT |
| 31. James S. Allen El Paso, TX | 43. Ann Houseman on behalf of Evan Kenny Houseman (deceased) Hockessin, DE |
| 32. Mary Ellen McNulty San Jose, CA | 44. Vincent J. Acampora Austin, TX |
| 33. Melanie A. Dugan Santa Fe, NM | 45. Sherif Robert Hesni Washington, DC |
| 34. Thomas John Little Harrisburg, PA | 46. Timothy A. Fram Lowell, MA |
| 35. Jeffrey W. Connelly Schenectady, NY | |

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| 47. Jac'Queis Andrew Gooch Racine, WI | 60. Robert O. Yantz on behalf of Robert M. Yantz (deceased) Millersville, PA |
| 48. John Billington Kremmling, CO | 61. Clyde W. Yoder Millersburg, OH |
| 49. Peter W. Flagg & Mayra C. Flagg Riverview, FL | 62. Raymond Sai Sunnyvale, CA |
| 50. Scott Stephens Daly City, CA | 63. Maria Javier Trapani Miami, FL |
| 51. James Douglas Wilson Cheyenne, WY | 64. Walter A. Meller Abilene, TX |
| 52. Joan M. Brengelman Trussville, AL | 65. JC&ME Enterprises, LLC, Lynda Birch, Manager Oklahoma City, OK |
| 53. William S. Erickson Clearwater, FL | 66. Allene Haskovec on behalf of Ivan Haskovec (deceased) Littleton, CO |
| 54. Steven R. Feller Fort Collins, CO | 67. Robert W. Sanders Sequim, WA |
| 55. Michelle L. Feller Fort Collins, CO | 68. David R. Anderson North Ridgeville, OH |
| 56. Charles (Chuck) Anthony Piccirillo Provincetown, MA | 69. Helen K. Young Bensalem, PA |
| 57. Thomas A. Bundros Dalton, GA | 70. Lois H. Friedrichsen Larkspur, CO |
| 58. Tonda B. Dunbar Middleburgh, NY | 71. Yoon Chee Chia (David Chia) Singapore |
| 59. Antonia C. Shoham, TTEE UA DTD 7/13/2013 Duxbury, MA | |

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| 72. Patricia W. Hardwick on behalf of Michael Hardwick (deceased) Aiken, SC | 84. Steven M. Brown Paradise, CA |
| 73. Elizabeth M. Rutherford Camano Island, WA | 85. Candyce D. Morris Crestline, CA |
| 74. Paul T. Newbourne & Laura E. Newbourne Signal Mountain, TN | 86. Judith B. Steinberg on behalf of the Estate of Sylvan J. Steinberg (deceased) New Orleans, LA |
| 75. Mary K. Fuhrman & Daryl Fuhrman Aberdeen, SD | 87. Greg Steven Highfill Las Vegas, NV |
| 76. Ronald Dong Emeryville, CA | 88. Edward F. Dash Highlands Ranch, CO |
| 77. Marcella Francesse Enos Fair Oaks, CA | 89. Alexander Blase Tarantino Carmel, CA |
| 78. Cornwal K.S. Matsusaka Aiea, HI | 90. Paula Rose Tarantino Carmel, CA |
| 79. Gerald R. De Groot Bainbridge Island, WA | 91. Joe Vincent Tarantino Carmel, CA |
| 80. Marie J. Fligsten Bainbridge Island, WA | 92. Joseph A. Walker Summerville, SC |
| 81. Michele A. Cannella, TTEE on behalf of the Estate of Jack and Donna Haygood Family Trust Davie, FL | 93. Fraser C. Wong Las Vegas, NV |
| 82. Marie U. Stoker Napa, CA | 94. Barbara J. Dash Highlands Ranch, CO |
| 83. Jean M. Brown Paradise, CA | 95. Steven M. McBride on behalf of Patricia A. McBride (deceased) Jacksonville, FL |

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| 96. Jane Louise Ballinger Estes & Charles A Estes (deceased) Salt Lake City, UT | 108. Eileen DeStefano Drexel Hill, PA |
| 97. Florence M. Evans & Peter & Florence Evans Rev Trust Ellicott City, MD | 109. Patrick J. Thorn Kingwood, WV |
| 98. Carroll Duff No Address Provided | 110. Patten Stewart Marble Falls, TX |
| 99. Cheryll Smith Union Gap, WA | 111. Elizabeth A. Clark on behalf of The Estate of Paul S. Clark Glenview, IL |
| 100. Joseph R. Ramirez Baldwin City, KS | 112. Annamaria F. Demiris Woodstock, GA |
| 101. Angela C. Paulsen Buckeye, AZ | 113. Daniel Ray Verhelle Linden, MI |
| 102. Martin L. Paulsen Buckeye, AZ | 114. Gregory Dean Isaac Parma, MI |
| 103. Brian Ray Heaps Redding, CA | 115. Gloria Uzzell North Fort Myers, FL |
| 104. Kimberley Ruth Heaps Redding, CA | 116. John C. Chanas, executor on behalf of the Estate of Peter King III Greensboro, NC |
| 105. Jeff R. Hoerr Mapleton, IL | 117. Steven Cohen Valley Stream, NY |
| 106. Barbara L. Hoerr Mapleton, IL | 118. Kathleen M. Bartin Chambersburg, PA |
| 107. Leonard DeStefano Drexel Hill, PA | 119. Jack R. Cox Grayville, IL |

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| 120. Kristin Perchal Fort Calhoun, NE | 132. Roylee Pflughoeft & Jane Plowman on behalf of the Frederick & Roylee Pflughoeft Rev. Liv. Trust dated 11/02/1992 Wauwatosa, WI |
| 121. Albert J. Peterson & Evelyn Arnell Peterson Eau Claire, WI | 133. James A. Blackledge Omak, WA |
| 122. Wei Yu Philadelphia, PA | 134. Suzanne Fonda Manassas, VA |
| 123. Randall John Anderson Barnum, MN | 135. Joseph D. Russo New York, NY |
| 124. Douglas J. Guillot Plattenville, LA | 136. Wendy Abramowitz Glen Cove, NY |
| 125. Stephen M. Richards Bakersfield, CA | 137. Diana Rank Arma, KS |
| 126. Virginia L. Verburg Richmond, TX | 138. Elisabeth A. Hayden, TTEE on behalf of the Elisabeth Auld Hayden Rev Trust La Jolla, CA |
| 127. Madeline Anes Hagen & Sally Anes (deceased) San Antonio, TX | 139. Nancy Bosch & Wim Bosch (deceased) Avilla, IN |
| 128. Perry H. McGowan Forest Lake, MN | 140. Sharyn L. Nichols Lexington, KY |
| 129. Emery Wong Pomona, CA | 141. Jean Marie Eby Seville, OH |
| 130. Michelle Annette Schumacher Anaheim, CA | 142. Brady Gambone Lexington, MA |
| 131. Robert J. Woessner Apex, NC | |

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| 143. William E. Boyke & Elaine A. Boyke Plymouth, MI | 154. Sandra Tunik & Ira Tuni(deceased) Santa Rosa, CA |
| 144. Duane Willman & Tina Willman The Colony, TX | 155. Jane B. Greene TTEE on behalf of the Jay W Greene and Jane B Greene T Tr U/A dtd 10/19/1993 Muncie, IN |
| 145. Kathleen D. Anderson on behalf of the Kathleen D. Stiller Rev Liv Trust U/A Dtd. 11/12/1996 Dallas, OR | 156. Harvey Brower Holland, MI |
| 146. Jo Jacquelyn Lazzell Punta Gorda, FL | 157. Thomas M. Jakub and Elvira J. Jakub Vienna, VA |
| 147. John M. Gregory Sarasota, FL | 158. Esgardo Acosta Schuyler, NE |
| 148. Mary Lou Yindra Ivoryton, CT | 159. Monica N. Brown Lynchburg, VA |
| 149. Jennifer M. Lynch Mahopac, NY | 160. Jean F. King on behalf of the Walter L. Wetzel Estate Phoenix, AZ |
| 150. Torry Arnold Olsen Mandal, Norway | 161. Judith A. Rowe Brookville, IN |
| 151. Diana Lejeune Centralia, WA | 162. Tony Khanh Truong Houston, TX |
| 152. Virginia M. Florczyk & Alexander P. Florczyk (deceased) Denver, NC | 163. Nigel Whincup Berkshire, United Kingdom |
| 153. James S. Tisch on behalf of the James S. Tisch 1991 Trust New York, NY | 164. Janiece Beinke Alabaster, AL |
| | 165. Melanie Cox East Liverpool, OH |

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| 166. Patricia E. Collins and T. G. Collins TR St. Maries, ID | 178. Sharon Reuter Zigler TTEE on Behalf of the Johnson Family Trust Galesburg, IL |
| 167. Charles Presley Hiltons, VA | 179. Brian P. Conley Arvada, CO |
| 168. Kasahara Yoshio The Trizon, Singapore | 180. Chunjian Ren Irvine, CA |
| 169. Gloria Carruth Kingwood, TX | 181. Ronald D Anderson Sleepy Hollow, IL |
| 170. Manas Pal Sunnyvale, CA | 182. Philip T. Shaughnessy Grass Lake, MI |
| 171. Emily M. Clayton Bosque Farms, NM | 183. LaVerda Sue Johnson Redmond, UT |
| 172. Robert David Young Springfield, OH | 184. Alan L. Gardner Warner Robins, GA |
| 173. Thomas R. Hays & Lori Jean Hays Aurora, OR | 185. Wanda Leone Anna, TX |
| 174. Ronald A. Detert Brillion, WI | 186. Diana K. Stewart Hale, MO |
| 175. James A. Karlowicz & Connie L. Karlowicz Dover, OH | 187. Dennis M. Egan & Margaret I. Egan Oakton, VA |
| 176. Marshall Stephen McKee Novato, CA | 188. William George Valance South Setauket, NY |
| 177. Sonny T. Hoang San Jose, CA | 189. Julee Hoang Do San Jose, CA |

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| 190. Resa Wilcox on behalf of Resa Clark Wilcox TOD Tina Jo Briggs, Leslie Franz, Marlee Snow and Resa Clark Wilcox Melody Clark Bondurant, Exec Est of Dorothy S. Clark Saint Augustine, FL | 201. Mary Ruth Williams Fuquay-Varina, NC |
| 191. Mary W. Hartman Albuquerque, NM | 202. Richard D. Simmons II Graham, NC |
| 192. D. Todd Durham on behalf of Walter M. Overbeck (deceased) Cincinnati, OH | 203. Harvey Schmidt No Address Provided |
| 193. William F. Northcutt Cincinnati, OH | 204. John Rohrl & Helen Rohrl Newnan, GA |
| 194. Carol J. Shoulders Princeton, IN | 205. Paul Letkiewicz Erie, PA |
| 195. Darlene Decker Broken Bow, OK | 206. Vickie E. Amendola Valdosta, GA |
| 196. Jose Luis Baldor Larchmont, NY | 207. Doris E. Darnall Savannah, GA |
| 197. Beau Allen West Nicholasville, KY | 208. John D. Ridenour Orlando, FL |
| 198. John W. Parthum Jr. Grosse Pointe Woods, MI | 209. Sarah C. King Barbourville, KY |
| 199. Barbara F. Roth Madison, WI | 210. Sandra K. Johnson LaGrange, GA |
| 200. Tahir C. Belbez & Ayse M. Belbez Burlington, ON, Canada | 211. Donna Jean Wiskow Kaukauna, WI |
| | 212. Ahmet Tuter Ankara, Turkey |
| | 213. Harald Nissen on behalf of ODIN Forvaltning AS Oslo, Norway |

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| 214. Yu S. Kim on behalf of Dylan Bomin Kim Laguna Woods, CA | 225. Geraldine Rosner Hollywood, FL |
| 215. Yu S. Kim on behalf of Travis Gyumin Kim Laguna Woods, CA | 226. Geraldine Rosner for Heather Rosner Hollywood, FL |
| 216. Yu S. Kim & Jin A. Kim Laguna Woods, CA | 227. Daniel L. Eckert Kewaunee, WI |
| 217. Yu S. Kim Laguna Woods, CA | 228. Jacoba J. Van Sitteren Loosdrecht, Netherlands |
| 218. Mila Ordonez on behalf of Felipe A. Ordonez Jr. Sugarland, TX | 229. Linda Dodd Chandler on behalf of Anne B. Dodd (deceased) Estate Angier, NC |
| 219. Erik Sorensen Burnaby, BC, Canada | 230. Susan Hay on behalf of Marie B. Kran (deceased) Arvada, CO |
| 220. Maryanne T. Harris Mashpee, MA | 231. Joshua Mayer Colorado Springs, CO |
| 221. Edith Yu on behalf of Richard T. Yu (deceased) Berkeley, CA | 232. Cindy Weinberg Russo Trussville, AL |
| 222. Ronald Uptigrove Bastrop, LA | 233. Nancy H. Brown Sherwood, OR |
| 223. Patricia Cosimano for Bernard Cosimano (deceased) Southold, NY | 234. Julia Beth Scheerer Rockville, MD |
| 224. Donna Knudsen Joyner Deltona, FL | 235. James Norman Frame on behalf of the Estate of Peter Franklin Frame Charleston, WV |

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| 236. Ann Cromptin Tippin Prestney TTEE for the Ross S. and Ruth C. Tippin, Ann C.T. Prestney Trust New Hope, PA | 247. Janis (Mahaney) Cole on behalf of the Estate of Carroll Edward Mahaney (deceased) Vestavia, AL |
| 237. Ann Crompton Tippin Prestney New Hope, PA | 248. Pamela C. Goddard and Gary W. Goddard Concord, NH |
| 238. Teri L. Giovanine Hodges Bishop, CA | 249. Helen D. Parnell Winslow, ME |
| 239. Matthew P. Abell Woburn, MA | 250. William J. Miller New Berlin, WI |
| 240. William C. Martin Quitman, TX | 251. Stanley M. Schleusener TTEE on behalf of Stanley M. Schleusener Family Trust U/A DTD 7/28/2014 Tomah, WI |
| 241. Ursula C. Pasquerella & Anthonay Pasquerella Schenectady, NY | 252. Susan Hannigen Butler on behalf of Hattie W. Hannigen Family Trust Medfield, MA |
| 242. Linda S. Smith Torrance, CA | 253. Susan Hannigen Butler on behalf of Robert C. Hannigen Trust DTD 04/30/1992 Medfield, MA |
| 243. Stewart L. Haimson Las Vegas, NV | 254. Thomas Theodore Saunders Arlington, WA |
| 244. Mary Belinda Gosson Bellevue, WA | 255. William S. Miller New Berlin, WI |
| 245. Matthias N. Steinbruechel Mannedorf, Switzerland | 256. Juanita M. Andres Phoenix, AZ |
| 246. Patricia E. Keene Tucson, AZ | |

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| 257. Carolyn Westbrook Bialik Towson, MD | 270. Kathleen A Perrine Bloomsburg, NJ |
| 258. Pamela Jo Stillwell Dunkirk, MD | 271. Steve Van Gheem ACF James Van Gheem Andover, MN |
| 259. Christine K. Yonkos Washington, MI | 272. Belinda Ang Singapore |
| 260. Michael J. McCarthy Canton, CT | 273. Robert N. Pence Vienna, VA |
| 261. Patricia Boehm Lancaster, VA | 274. Thomas Dale Hire Lewisville, NC |
| 262. Troy Officer Bloomington, MN | 275. Mark A. Pipis & Virginia L. Pipis Dundee, MI |
| 263. Mazen Atwi Lafayette, LA | 276. Gina L. Stockmann Wright City, MO |
| 264. Ramona Mielusel Lafayette, LA | 277. Nathan Loral Hajduk Wyandotte, MI |
| 265. Marjorie Louise Neal Jacksonville, OR | 278. Sandra L. Hoffmann Temperance, MI |
| 266. Paul W. Frattini Flat Rock, MI | 279. Daniel Ivan Luketic Kirtland, OH |
| 267. James Dam Oneida, NY | 280. Inge Olav Waage & Anne Karin Waage Notodden, Norway |
| 268. Andrew Lee Tucker Austin, TX | 281. Thomas S. Ogata and Jean H. Ogata for the JWM Rev Liv Trust Plano, TX |
| 269. Judy Harmon Lake Park, IA | |

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| 282. Connor Mason Filley, NE | 290. Jennifer Q. Russell, Trustee on behalf of the Kathlyn W. Quig Trust UA 11/19/93 George E. Quig Trust Milton, VT |
| 283. Matthew A. Ubelhor Evansville, IN | 291. Fu Sheng Wu Belmont, MA |
| 284. Graeme Shirley San Diego, CA | 292. Robert W. McGuire San Francisco, CA |
| 285. June Catherine Simon Saint John, IN | 293. Gerd Enke Wolfsburg, Germany |
| 286. Linda E. Derber Hayward, WI | 294. Monica M. Miszczak Milwaukee, WI |
| 287. Robert Gagnon Laval, QC, Canada | 295. Larry H. Coots Scottsboro, AL |
| 288. Robert Gagnon on behalf of Entreprises Fiscale Taxe R.L. Inc. Laval, QC, Canada | 296. Linda Lafuze Richmond, IN |
| 289. Joachim Brandmaier of Weiler & Eberhardt Depotverwaltung AG on behalf of IP Concept for the following funds: Stuttgarter- Aktien-Fonds, Stuttgarter Dividendenfonds, and Stuttgarter Energiefonds Stuttgart, Germany | 297. Marje-Kai Kirves Peterborough, ON, Canada |
| | 298. Shona Gourlay Calgary, AB, Canada |
| | 299. Adam David Geltz Columbia, PA |
| | 300. Kensuke Koda Tokyo, Japan |

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| 301. Doreen Hanna NanOOSE Bay, BC, Canada | 310. Danielle L. Burza-Smith On behalf of Patricia Kempany as representative for the Estate of Robert Aken |
| 302. Sarah Congleton King on behalf of Martha Viall Congleton (deceased) & Curtis D Congleton Sr. (deceased) Barbourville, KY | 311. HSBC House on behalf of San Francesco LTD (liquidated) Esplandada St. Helier, Jersey |
| 303. Chan Yuen Shan N.T. Hong Kong | 312. Nancy Honeycutt Jolly Statesville, NC |
| 304. Benjamin E. Ramp & Kathleen M. Ramp on behalf of the Benjamin E. and Kathleen M. Ramp Living Trust Geneseo, IL | 313. Peter Joseph Bensko-Tarsitano San-Marcos, TX |
| 305. Nicole Thome on behalf of TBF Global Asset Management GmbH Einschreiben, Singen | 314. Bridgitte Mahlo Louveciennes, France |
| 306. Patrick J. Flynn Oldsmar, FL | 315. Bernice M. Feltz Plainfield, IL |
| 307. Myriam Takla Paris France | 316. Susan E. Ryan Buzzards Bay, MA |
| 308. Walter Rivetti Italy | 317. Anna Elisabeth Pape Toronto, ON Canada |
| 309. Daisuke Kawaguchi No Address Provided | |